

# CMU 15-251

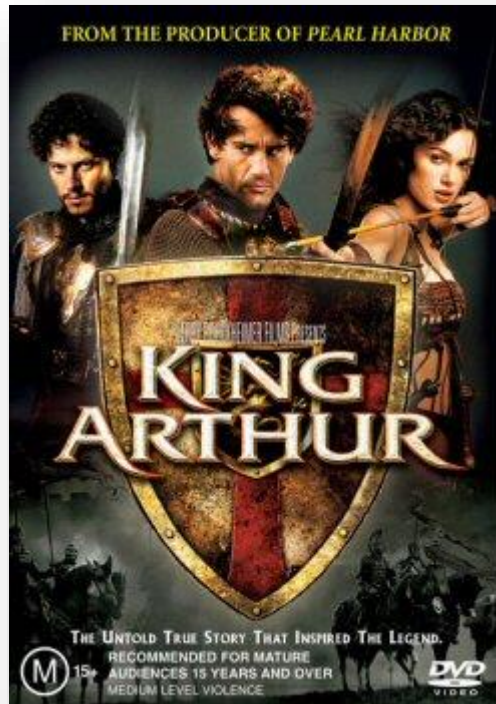
## INTERACTIVE PROOFS

TEACHERS:

ANIL ADA

ARIEL PROCACCIA (THIS TIME)

# OUR PROTAGONISTS



# NP, REVISITED



Prover wants to convince Verifier that  $x \in A$ ; cooks up a proof and sends it to Verifier



Verifier checks in polynomial time that the proof is legit

# NP, REVISITED

- This strategy works, by definition, for any language in NP
- But what about languages like  $\neg\exists\text{COL}$ ?
- We will relax the assumptions:
  - Make the protocol **interactive**
  - Make the verifier **probabilistic**



# COKE VS. PEPSI



# IP FOR COKE VS. PEPSI



Verifier fills a cup with a random choice of Coke/Pepsi, passes it to Prover



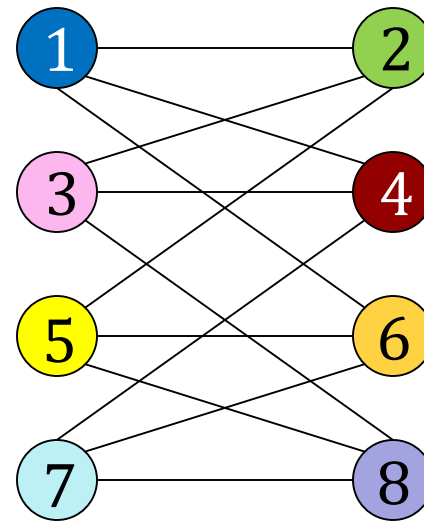
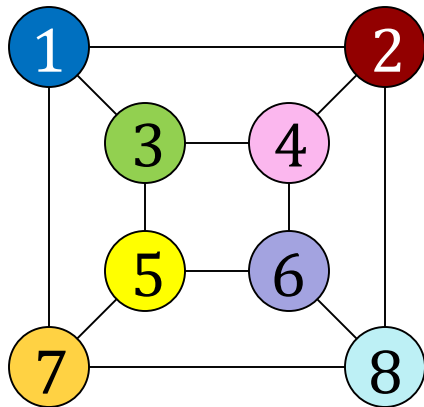
Prover tastes the contents of the cup, says whether it is Coke or Pepsi



If Prover is correct Verifier **accepts**, otherwise verifier **rejects**

# GRAPH NONISOMORPHISM

- Two graphs  $G_0 = (V_0, E_0)$  and  $G_1 = (V_1, E_1)$  are **isomorphic** iff there is a permutation  $\pi: V_0 \rightarrow V_1$  such that
$$(u, v) \in E_0 \Leftrightarrow (\pi(u), \pi(v)) \in E_1$$



# GRAPH NONISOMORPHISM

- Observation: If  $G_0$  is isomorphic to  $G_1$ , and  $G_1$  is isomorphic to  $G_2$ , then  $G_0$  is isomorphic to  $G_2$
- GRAPH ISOMORPHISM is clearly in **NP** (unknown if it's **NP**-complete)
- But how do we prove that two graphs are **not** isomorphic?
- We will give an interactive protocol!





# IP FOR GRAPH NONISOMORPHISM



Verifier chooses  $b \in \{0,1\}$  and permutation  $\pi$  at random, and sends  $\pi(G_b)$  to prover

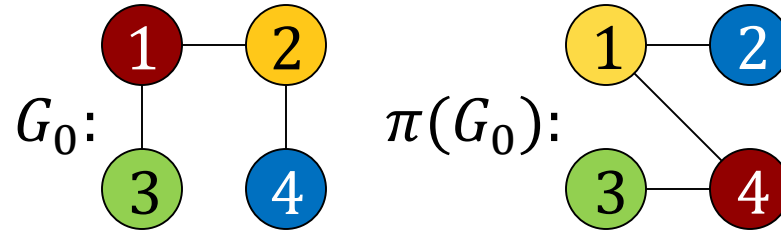


Prover sends a bit  $b'$



If  $b = b'$  verifier accepts, otherwise verifier rejects

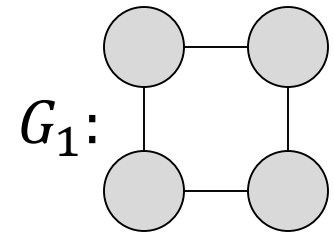
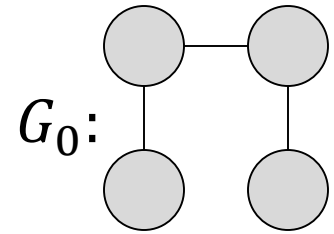
# IP FOR GRAPH NONISOMORPHISM



0



Accept



# IP FOR GRAPH NONISOMORPHISM

1. **Verifier** chooses  $b \in \{0,1\}$  and permutation  $\pi$  at random, and sends  $\pi(G_b)$  to **prover**
2. Prover sends a bit  $b'$
3. If  $b = b'$  verifier accepts, otherwise it rejects

- **Poll 2:** Probability that prover will get the verifier to accept, when the graphs are nonisomorphic and isomorphic, respectively?

1. 1 and  $1/n!$
2. 1 and  $1/2$
3.  $1/2$  and  $1/n!$
4.  $1/2$  and  $1/2$



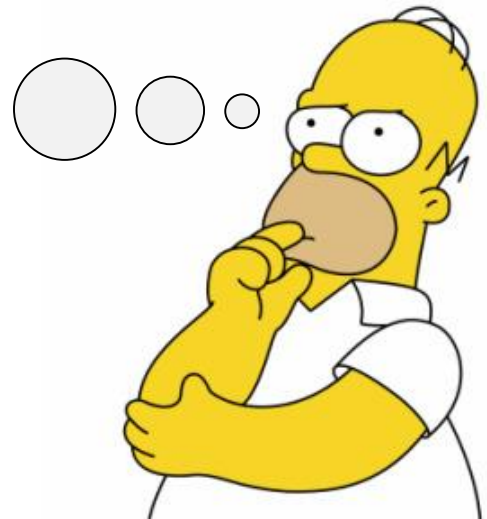
# INTERACTIVE PROOFS

- An **interactive proof** system for problem  $L$  is a protocol between a computationally unbounded **prover**  $P$  and a probabilistic polynomial-time **verifier**  $V$  such that:
  - Completeness:  
$$\forall x \in L, \Pr[(V \leftrightarrow P)(x) \text{ accepts}] = 1$$
  - Soundness:  
$$\forall x \notin L, \forall P', \Pr[(V \leftrightarrow P')(x) \text{ accepts}] \leq 1/2$$

# INTERACTIVE PROOFS

- GRAPH NONISOMORPHISM has an interactive proof system

But being fooled with probability  $\frac{1}{2}$  is still pretty bad! What can we do about it?



# INTERACTIVE PROOFS

- **Poll 1:** What is the relation between NP and IP?
  1.  $NP \subset IP$
  2.  $IP \subset NP$
  3.  $IP = NP$
  4. They are incomparable



# ZERO KNOWLEDGE PROOFS

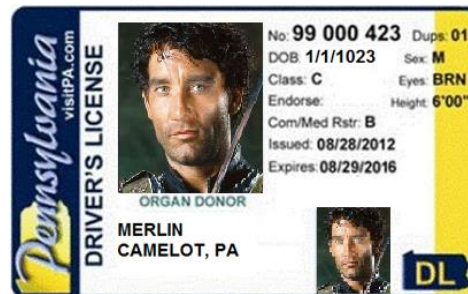
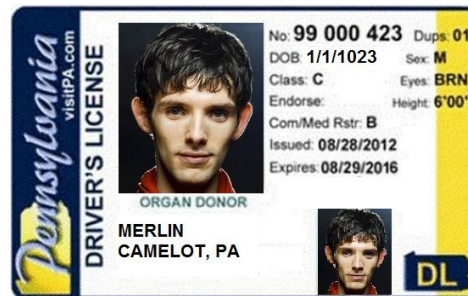
- GRAPH ISOMORPHISM clearly has an interactive proof: Prover sends a solution, verifier checks it
- But can the prover convince the verifier that there is a solution without revealing the solution?
- This is called a **zero knowledge proof**



# WHY DO WE NEED ZKPs?

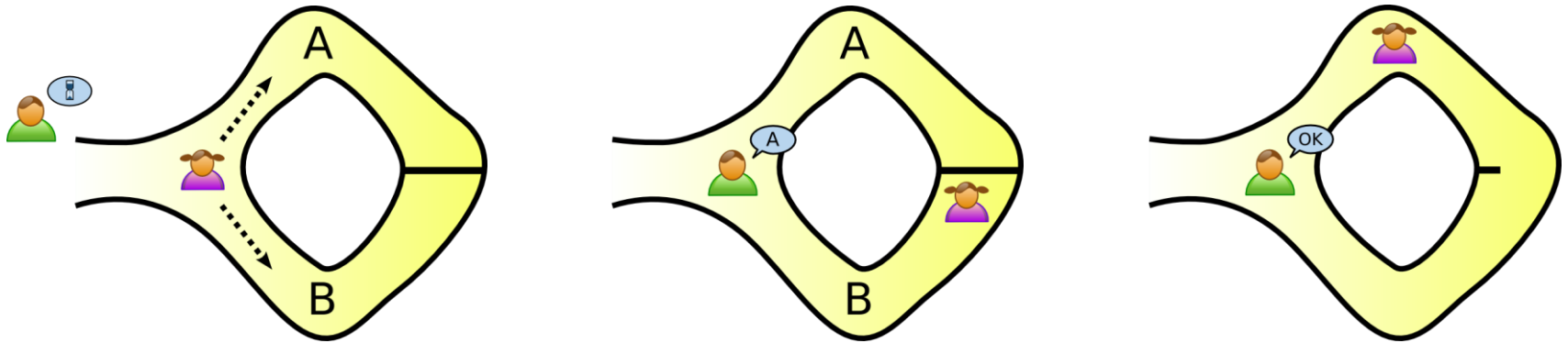


Merlin, prove that you are who you say you are!





# INTUITION FOR ZKPs



# ZKP FOR GRAPH ISOMORPHISM



Prover chooses  $b \in \{0,1\}$  and permutation  $\pi$  at random, and sends  $H = \pi(G_b)$  to Verifier



Verifier sends a random bit  $b'$  to Prover



Prover picks a permutation  $\pi'$  and sends it to Verifier



Verifier accepts iff  $H = \pi'(G_{b'})$

# ZKP FOR GRAPH ISOMORPHISM

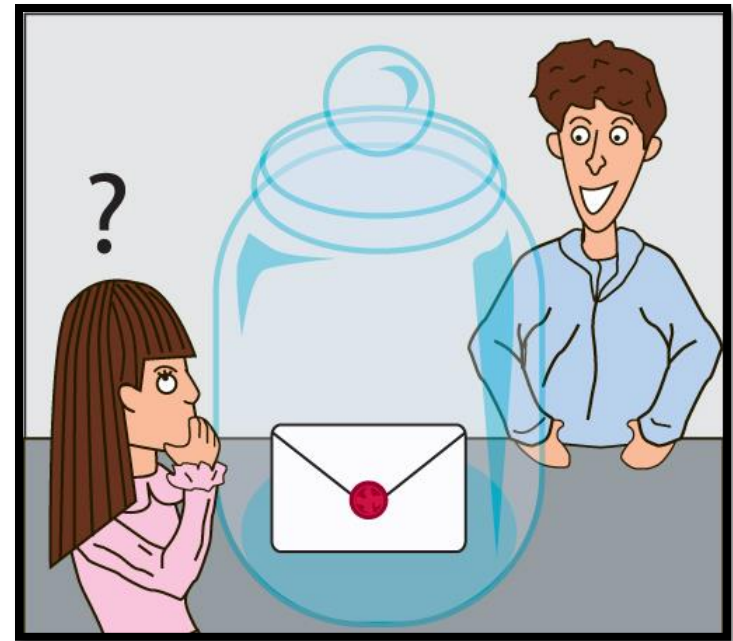
1. Prover chooses  $b \in \{0,1\}$  and permutation  $\pi$  at random, and sends  $H = \pi(G_b)$  to Verifier
2. Verifier sends a random bit  $b'$  to Prover
3. Prover picks a permutation  $\pi'$  and sends it to Verifier
4. Verifier accepts iff  $H = \pi'(G_{b'})$

- This is an interactive proof protocol:
  - It is complete (**why?**)
  - It is sound (**why?**)
- The verifier learns nothing about the solution!



# ZKP FOR 3-COLORING

- Next, we want to design an zero knowledge proof system for 3-COLORING
- We will rely on a cryptographic construction known as **bit commitment**
- Prover can put bits in **envelopes** and send them to Verifier; Verifier can only open an envelope if Prover tells him how to do it



# ZKP FOR 3-COLORING



Prover selects random permutation  $\pi$  of  $\{R, G, B\}$ , commits to  $\pi(\gamma(v))$  for all  $v \in V$  and sends



Verifier selects an edge  $(u, v) \in E$  uniformly at random and sends

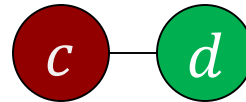
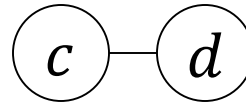
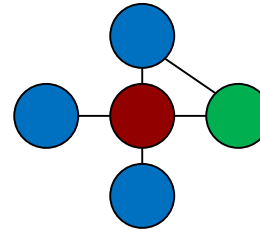
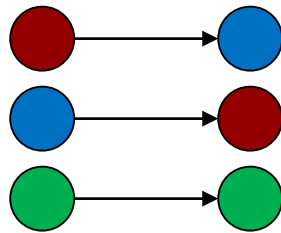


Prover reveals  $a = \pi(\gamma(u))$  and  $b = \pi(\gamma(v))$

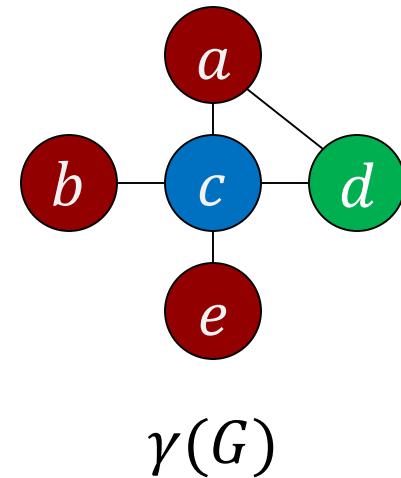


Verifier accepts iff  $a \neq b$

# ZKP FOR 3-COLORING



Accept



# ZKP FOR 3-COLORING

1. Prover selects random permutation  $\pi$  of  $\{R, G, B\}$ , commits to  $\pi(\gamma(v))$  for all  $v \in V$  and sends
2. Verifier selects an edge  $(u, v) \in E$  uniformly at random and sends
3. Prover reveals  $a = \pi(\gamma(u))$  and  $b = \pi(\gamma(v))$
4. Verifier accepts iff  $a \neq b$

- **Poll 3:** If  $G$  has no 3-coloring, what is the worst-case prob. Prover can convince Verifier?

1.	$1 - \frac{1}{2}$	3.	$1 - \frac{1}{3!}$
2.	$1 - \frac{1}{n!}$	4.	$1 - \frac{1}{ E }$

# ZKP FOR 3-COLORING

1. Prover selects random permutation  $\pi$  of  $\{R, G, B\}$ , commits to  $\pi(\gamma(v))$  for all  $v \in V$  and sends
2. Verifier selects an edge  $(u, v) \in E$  uniformly at random and sends
3. Prover reveals  $a = \pi(\gamma(u))$  and  $b = \pi(\gamma(v))$
4. Verifier accepts iff  $a \neq b$

- To get soundness, we must repeat the protocol
- Intuition for zero knowledge: Prover just reveals a pair of distinct random colors!



# MORE GENERALLY

- This “proves” that every problem in NP can be proved in zero-knowledge
- **Theorem (Ben-Or et al., 1990):** Every problem in IP can be proved in zero-knowledge



WHAT YOU NEED TO KNOW

Nothing

