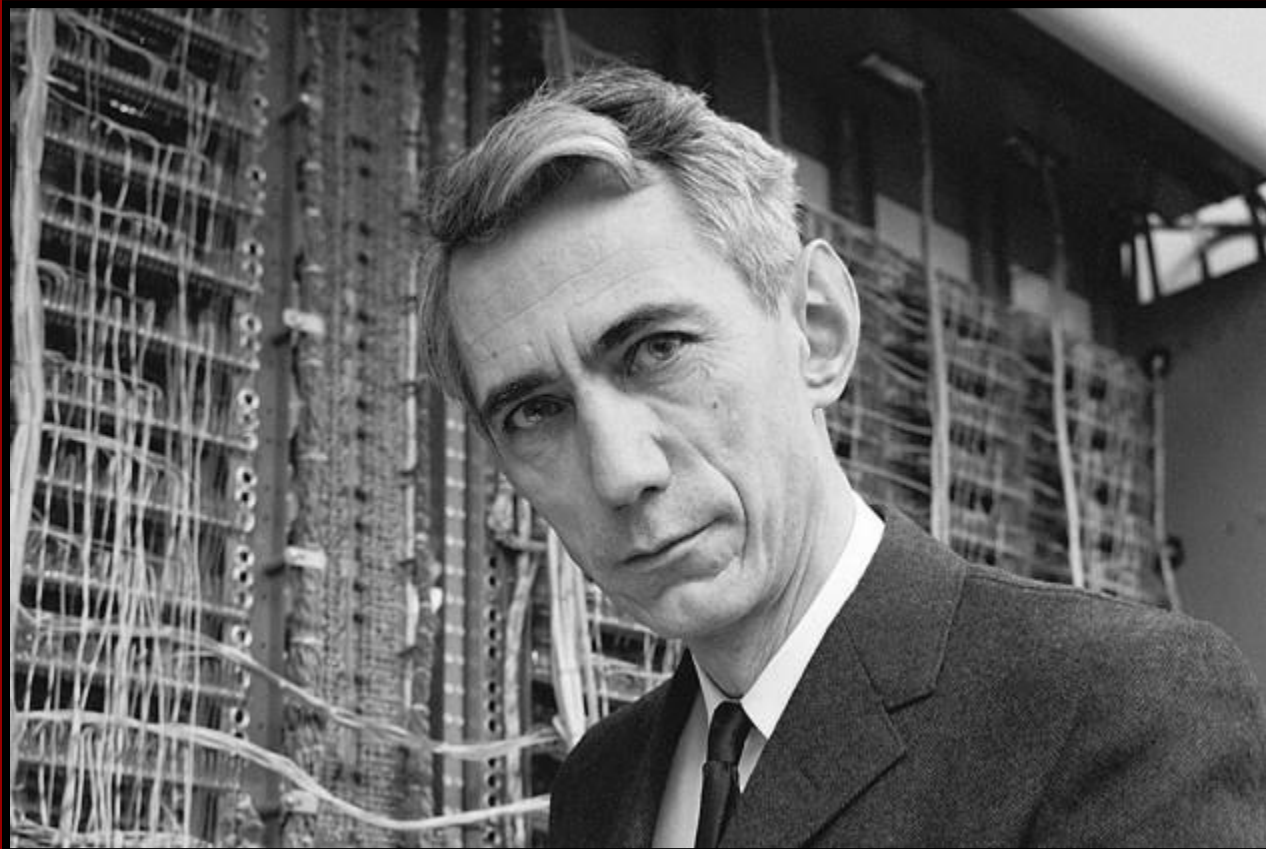


15-251: Great Theoretical Ideas in Computer Science  
Spring 2017, Lecture 15

# Boolean Formulas and Circuits

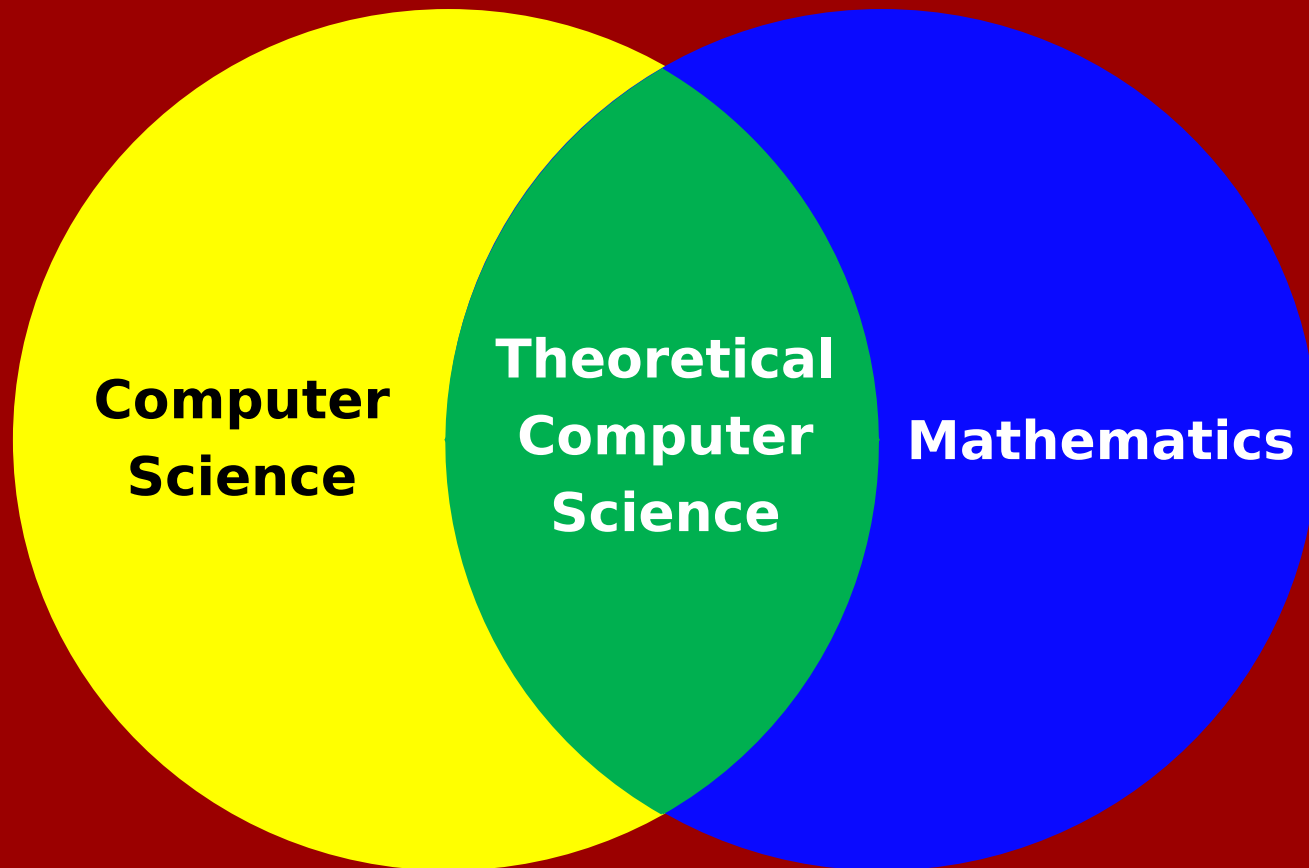


# Today

- Briefly mention the “**P** versus **NP**” problem
- Remind you of Boolean formulas
- Tell you about Boolean circuits
- Relate circuit size to algorithmic efficiency
- See why circuits are a good approach to **P** vs. **NP**
- See why circuits are a bad approach to **P** vs. **NP**

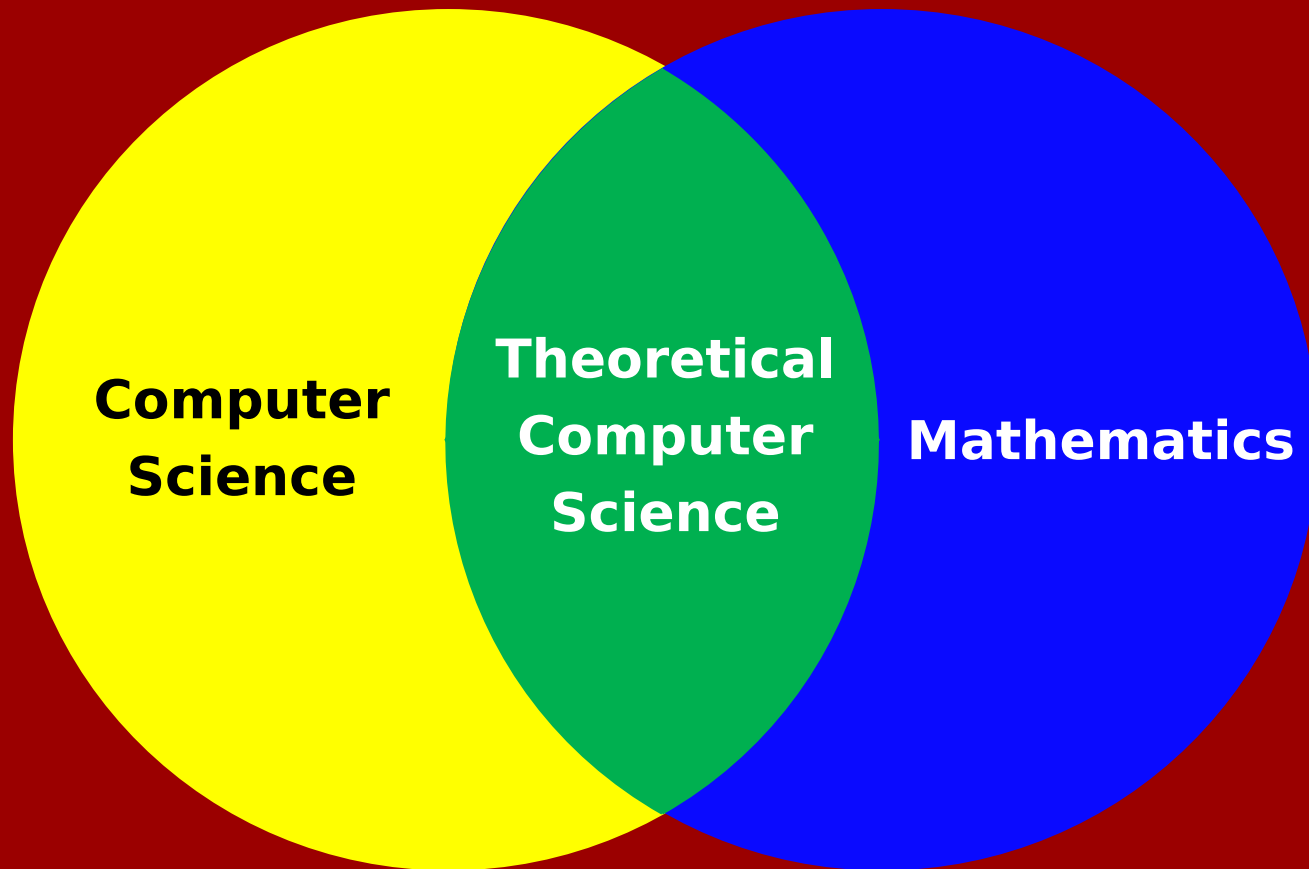
# P versus NP

The most famous unsolved problem in  
Theoretical Computer Science



# P versus NP

One if not **the** most famous unsolved problems in all of Computer Science and all of Mathematics





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# List of unsolved problems in computer science

From Wikipedia, the free encyclopedia

This article is a **list of unsolved problems in computer science**. A problem in computer science is considered unsolved when an expert in the field (i.e., a computer scientist) considers it unsolved or when several experts in the field disagree about a solution to a problem.

## Contents [\[hide\]](#)

- [1 Computational complexity](#)
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## Computational complexity [\[edit\]](#)

- [P versus NP problem](#) (often written as "P = NP," which is technically not correct for the problem or those below)



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# List of unsolved problems in mathematics

From Wikipedia, the free encyclopedia



## Contents [\[hide\]](#)

- 1 Lists of unsolved problems in mathematics
  - 1.1 Millennium Prize Problems
- 2 Other still-unsolved problems
  - 2.1 Additive number theory
  - 2.2 Algebra
  - 2.3 Algebraic geometry
  - 2.4 Algebraic number theory
  - 2.5 Analysis



## Millennium Prize Problems [\[edit\]](#)

Of the original seven [Millennium Prize Problems](#) set by the [Clay Mathematics Institute](#), six have yet to be solved, as of August 2015:<sup>[7]</sup>

- **P versus NP**
- [Hodge conjecture](#)
- [Riemann hypothesis](#)
- [Yang–Mills existence and mass gap](#)
- [Navier–Stokes existence and smoothness](#)
- [Birch and Swinnerton-Dyer conjecture](#)

The seventh problem, the [Poincaré conjecture](#), has been solved.<sup>[8]</sup> The [smooth four-dimensional Poincaré conjecture](#)—that is, whether a four-dimensional topological sphere can have two or more inequivalent [smooth structures](#)—is still unsolved.<sup>[9]</sup>

# P versus NP

One if not **the** most famous unsolved problems in all of Computer Science and all of Mathematics

I can state it for you in ten minutes

**Warning:** You won't get the full, glorious perspective on why "**P versus NP**" is so important until Lectures 17–19

# Boolean formulas

You've seen these before in Concepts:

$$((\neg x \rightarrow y) \wedge ((x \vee z) \leftrightarrow y))$$

$x, y, z, \dots$  Boolean *variables*, values 0/1 (or T/F)

$\neg, \wedge, \vee, \dots$  Boolean *connectives* (or *operations*)

A	B	$\neg A$	$(A \wedge B)$	$(A \vee B)$	$(A \rightarrow B)$	$(A \leftrightarrow B)$
0	0	1	0	0	1	1
0	1	1	0	1	1	0
1	0	0	0	1	0	0
1	1	0	1	1	1	1



# Boolean formulas

You've seen these before in Concepts.

Stuff like this:

$$((\neg x \rightarrow y) \wedge ((x \vee z) \leftrightarrow y))$$

$x, y, z, \dots$  Boolean *variables*, values 0/1 (or T/F)

$\neg, \wedge, \vee, \dots$  Boolean *connectives* (or *operations*)

**Truth assignment:** 0/1 value for each variable

A formula is **satisfiable** if there's a truth assignment to the variables making the whole formula true

# Truth tables

x	y	z	$((\neg x \rightarrow y) \wedge ((x \vee z) \leftrightarrow y))$
0	0	0	0
0	0	1	0
0	1	0	0
0	1	1	1
1	0	0	0
1	0	1	0
1	1	0	1
1	1	1	1

all possible truth assignments

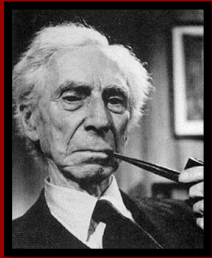
resulting truth value

**Satisfiable:** At least one 1 in truth table

**Unsatisfiable:** No 1's in truth table

**Tautology:** All 1's in truth table

# An unsolved problem in Computer Science/Mathematics: Who invented truth tables?



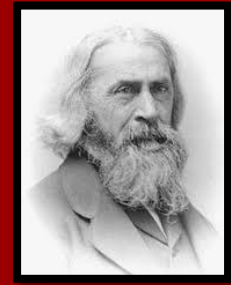
Russell?



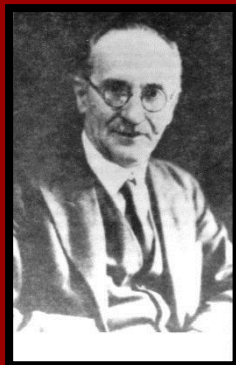
Wittgenstein?



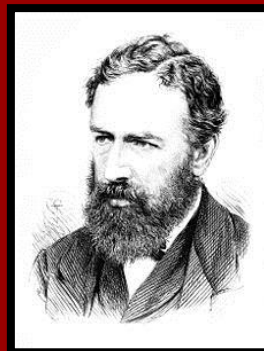
Post?



Peirce?



Łukasiewicz?



Jevons?



Ladd-Franklin?

# Another unsolved problem in Computer Science/Mathematics:

What is the intrinsic complexity of **SAT**?

**SAT**: Given as input a Boolean formula,  
decide if it is satisfiable or not.

**Question:** Is **SAT** decidable?

**Answer:** Yes.

# SAT is decidable

Say the input formula is  $G$ .

## **Brute-Force-Algorithm( $G$ ):**

Enumerate all truth assignments  $\alpha$ .

For each  $\alpha$ , compute the truth value it gives  $G$ .

If any of them satisfy  $G$ , then ACCEPT, else REJECT.

**Remark:** RAM pseudocode should have some more detail, but I expect you could fill it in.

# SAT is decidable

Say the input formula is  $G$ .

## **Brute-Force-Algorithm( $G$ ):**

Enumerate all truth assignments  $\alpha$ .

For each  $\alpha$ , compute the truth value it gives  $G$ .

If any of them satisfy  $G$ , then ACCEPT, else REJECT.

Say the input length (encoding size) of  $G$  is  $N$ .

Say the # of variables in  $G$  is  $n$ . (Note:  $n \leq N$ .)

(Although we usually write  $n$  for input length,  
for SAT it's super-traditional to use it for # of variables.)

# SAT is decidable

Say the input formula is  $G$ .

## **Brute-Force-Algorithm( $G$ ):**

Enumerate all truth assignments  $\alpha$ .

For each  $\alpha$ , compute the truth value it gives  $G$ .

If any of them satisfy  $G$ , then ACCEPT, else REJECT.

Say the input length (encoding size) of  $G$  is  $N$ .

Say the # of variables in  $G$  is  $n$ . (Note:  $n \leq N$ .)

# of truth assignments?  $2^n$

Running time of **Brute-Force**:  $\Omega(2^n)$

# SAT is decidable

Say the input formula is  $G$ .

## **Brute-Force-Algorithm( $G$ ):**

Enumerate all truth assignments  $\alpha$ .

For each  $\alpha$ , compute the truth value it gives  $G$ .

If any of them satisfy  $G$ , then ACCEPT, else REJECT.

Say the input length (encoding size) of  $G$  is  $N$ .

Say the # of variables in  $G$  is  $n$ . (Note:  $n \leq N$ .)

Running time of **Brute-Force**:  $O(2^n \cdot N)$

Running time of **Brute-Force**:  $\Omega(2^n)$



# An unsolved problem in Computer Science/Mathematics

What is the intrinsic complexity of **SAT**?

**SAT**: Given as input a Boolean formula,  
decide if it is satisfiable or not.

We saw **SAT** is decidable in  $O(2^N \cdot N)$  time.

Is **SAT** decidable in polynomial  $O(N^c)$  time?

This is precisely the **P versus NP** problem!

# The **P** versus **NP** problem

Is **SAT** decidable in polynomial  $O(N^c)$  time?

**Warning:** You won't get the full, glorious perspective on why "**P** versus **NP**" is so important until Lectures 17–19

# The **P** versus **NP** problem

Is **SAT** decidable in polynomial  $O(N^c)$  time?

Most(?) people believe the answer is NO.

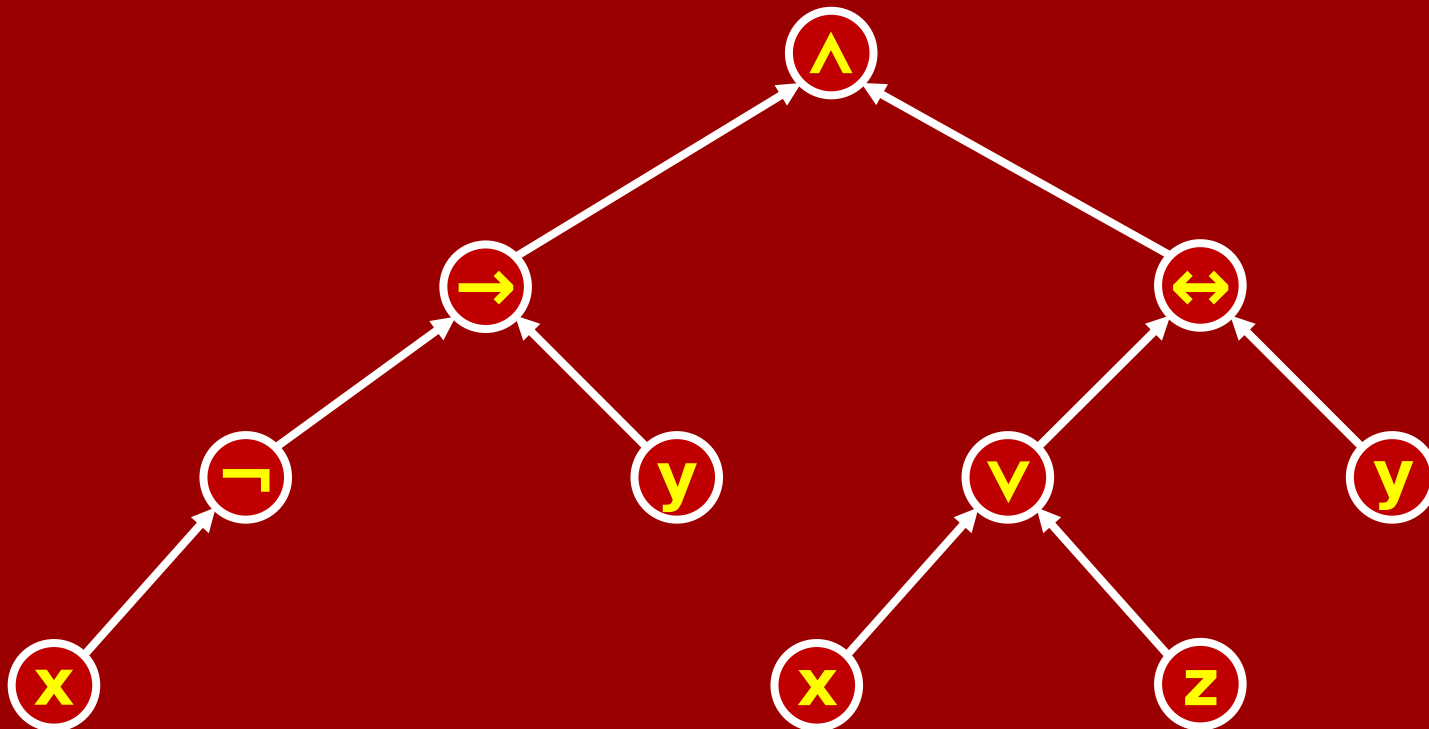
Why is it so hard to prove this?

Polynomial-time algorithms can do so many amazing, surprising things!

**Very** hard to prove efficient algorithm don't exist.

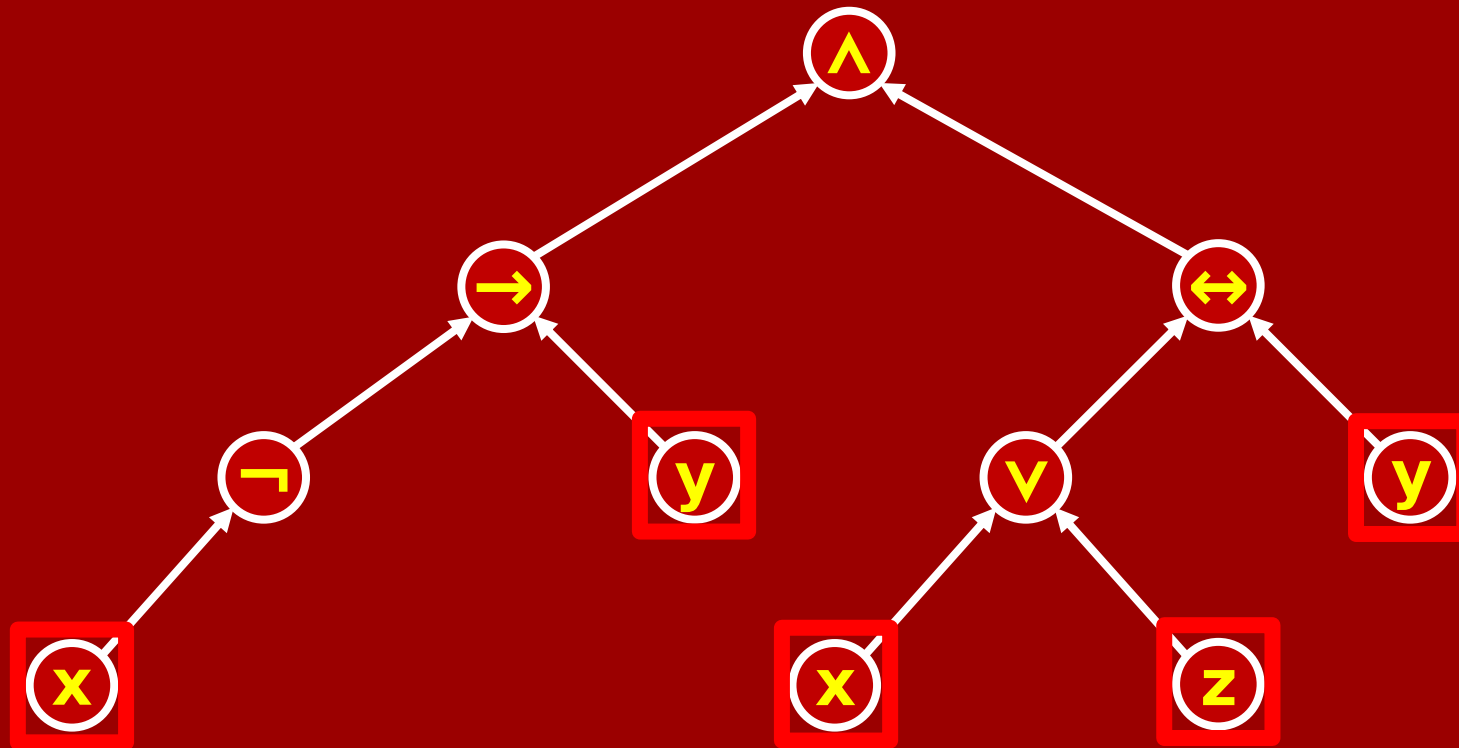
# Boolean formulas as binary trees

$((\neg x \rightarrow y) \wedge ((x \vee z) \leftrightarrow y))$



# Boolean formulas as binary trees

Variables at the *leaves*

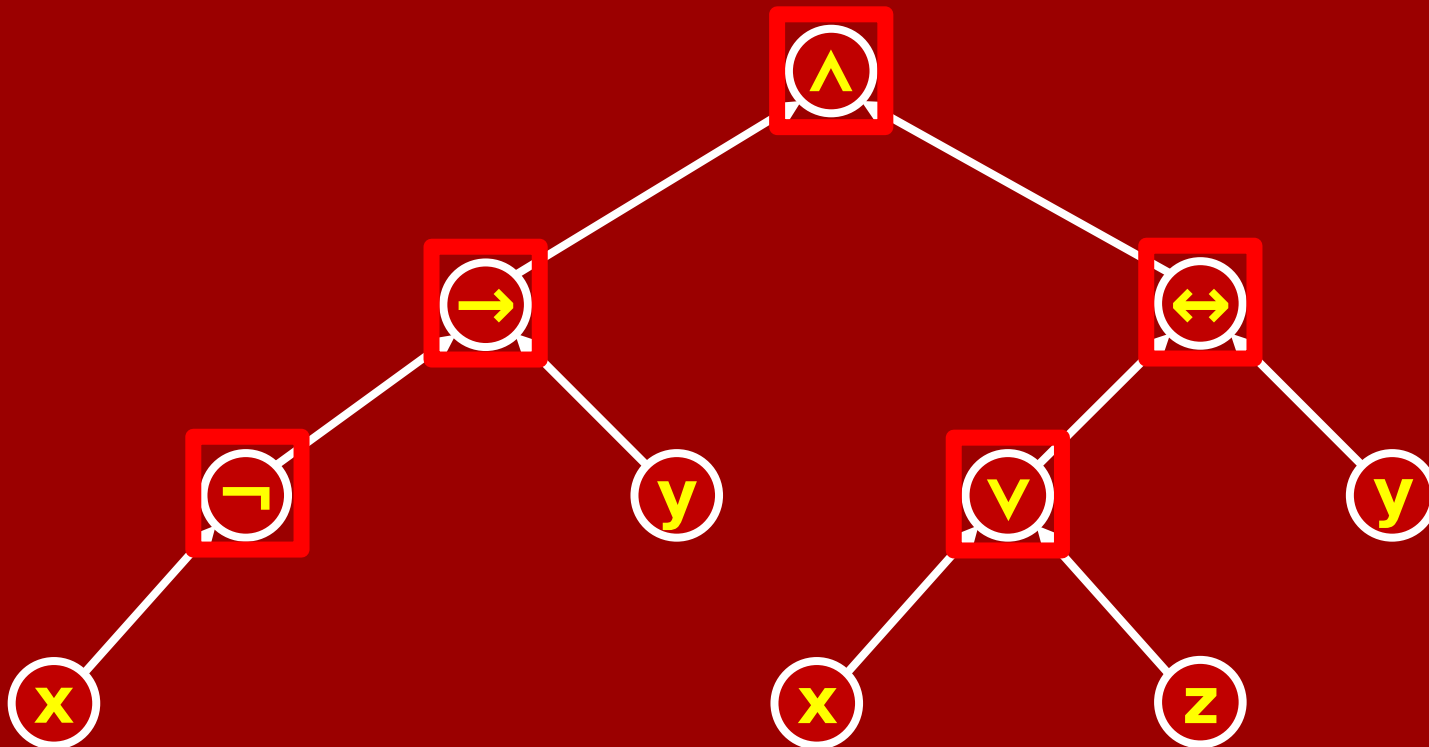


# Boolean formulas as binary trees

Variables at the *leaves*

Connectives at the *internal nodes*

Connectives have *fan-in 2* (except  $\neg$  has fan-in 1)

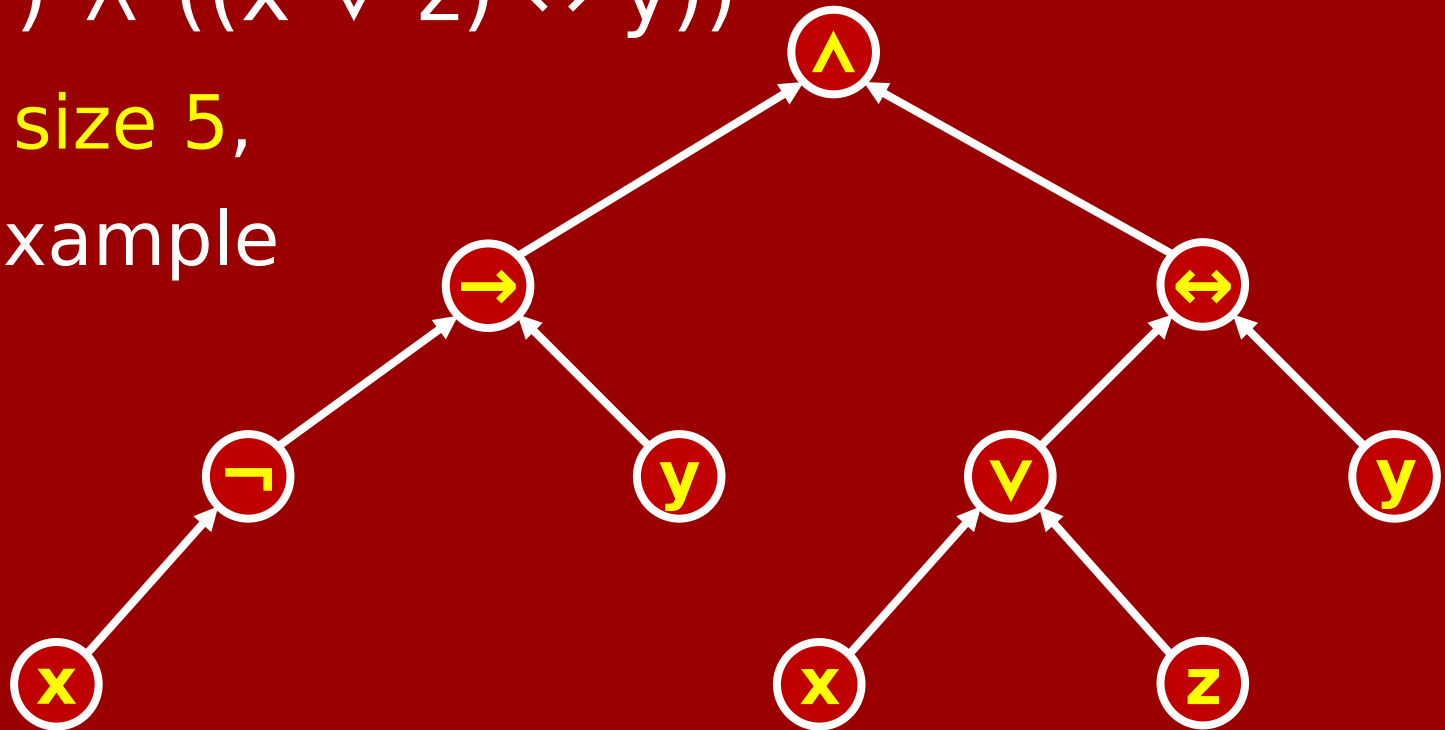


# Boolean formula conventions

- The “size” of a formula is the # of leaves (which is also # of variable-appearances).

$((\neg x \rightarrow y) \wedge ((x \vee z) \leftrightarrow y))$

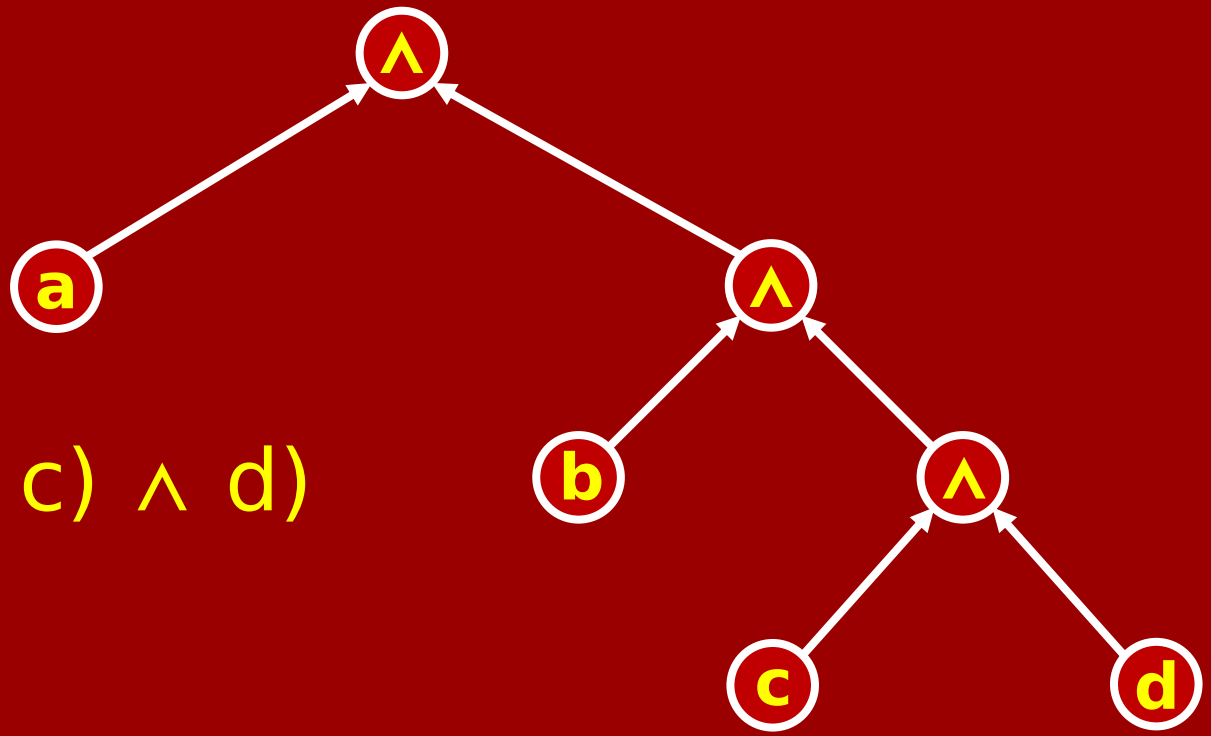
has size 5,  
for example



# Boolean formula conventions

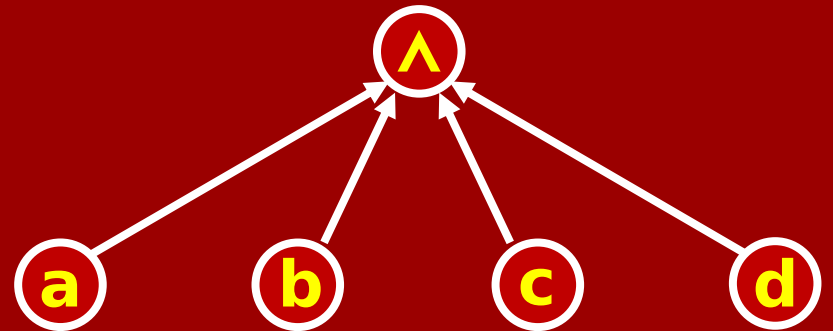
- The “size” of a formula is the # of leaves (which is also # of variable-appearances).
- Sometimes  $\rightarrow$ ,  $\leftrightarrow$ , other connectives allowed. Sometimes just  $\neg$ ,  $\wedge$ ,  $\vee$ .  
This is “without (much) loss of generality”.
- $((((a \wedge b) \wedge c) \wedge d) \cdots \wedge z)$  is often written as  $(a \wedge b \wedge c \wedge d \wedge \cdots \wedge z)$ , similarly for  $\vee$ .  
Doesn't affect “size” but does affect “depth”.





$((((a \wedge b) \wedge c) \wedge d))$

$(a \wedge b \wedge c \wedge d)$



“Allowing unlimited fan-in”

# More on truth tables

<b>x</b>	<b>y</b>	<b>z</b>	<b><math>((\neg x \rightarrow y) \wedge ((x \vee z) \leftrightarrow y))</math></b>
<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>
<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>
<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>
<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>
<b>1</b>	<b>1</b>	<b>0</b>	<b>1</b>
<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>

all possible truth assignments

resulting truth value

Every n-variable formula yields a truth table.

Two different n-variable formulas can have the same truth table.

# More on truth tables

<b>x</b>	<b>y</b>	<b>z</b>	<b><math>(x \wedge y) \vee (y \wedge z)</math></b>
<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>
<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>
<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>
<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>
<b>1</b>	<b>1</b>	<b>0</b>	<b>1</b>
<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>

all possible truth assignments

resulting truth value

Every n-variable formula yields a truth table.

Two different n-variable formulas can have the same truth table.

# More on truth tables

<b>x</b>	<b>y</b>	<b>z</b>	<b>(y ∧ (x ∨ z))</b>
<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>
<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>
<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>
<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>
<b>1</b>	<b>1</b>	<b>0</b>	<b>1</b>
<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>

all possible truth assignments

resulting truth value

Every n-variable formula yields a truth table.

Two different n-variable formulas can have the same truth table.

# More on truth tables

x	y	z	$(y \wedge (x \vee z))$
0	0	0	0
0	0	1	0
0	1	0	0
0	1	1	1
1	0	0	0
1	0	1	0
1	1	0	1
1	1	1	1

all possible truth assignments

resulting truth value

If two n-variable formulas have the same truth table, we call them **equivalent**.

# More on truth tables

x	y	$(x \rightarrow y)$
0	0	1
0	1	1
1	0	0
1	1	1

≡

x	y	$(\neg x \vee y)$
0	0	1
0	1	1
1	0	0
1	1	1

x	y	$(x \vee y)$
0	0	0
0	1	1
1	0	1
1	1	1

≡

x	y	$\neg(\neg x \wedge \neg y)$
0	0	0
0	1	1
1	0	1
1	1	1

If two n-variable formulas have the same truth table, we call them **equivalent**.

# Boolean functions

We also think of an  $n$ -bit truth table as a **Boolean function**,  $f : \{0,1\}^n \rightarrow \{0,1\}$ .

We think of any formula having that truth table as “computing” that Boolean function.

A Boolean function  $f : \{0,1\}^3 \rightarrow \{0,1\}$  can be specified by a truth table. E.g.:

<b>x</b>	<b>y</b>	<b>z</b>	<b>f(x,y,z)</b>
<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>
<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>
<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>
<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>1</b>	<b>0</b>	<b>1</b>	<b>1</b>
<b>1</b>	<b>1</b>	<b>0</b>	<b>1</b>
<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>

Or it can be specified by words. E.g.:

“ $f(x,y,z) = 1$  iff at least two input bits are 1”



## Question:

How many Boolean functions (truth tables) are there on  $n$  variables?

Answer:  $2^{2^n}$

We know each Boolean formula on  $n$  variables “computes” one such function.

## Question:

Is every Boolean function (truth table) computed by some Boolean formula?

# Is every truth table computed by some formula?

$x_1$	$x_2$	$x_3$	$x_4$	f
0	0	0	0	<b>0</b>
0	0	0	1	<b>0</b>
0	0	1	0	<b>0</b>
0	0	1	1	<b>0</b>
0	1	0	0	<b>0</b>
0	1	0	1	<b>0</b>
0	1	1	0	<b>0</b>
0	1	1	1	<b>0</b>
1	0	0	0	<b>0</b>
1	0	0	1	<b>0</b>
1	0	1	0	<b>0</b>
1	0	1	1	<b>0</b>
1	1	0	0	<b>0</b>
1	1	0	1	<b>0</b>
1	1	1	0	<b>0</b>
1	1	1	1	<b>1</b>

$$x_1 \wedge x_2 \wedge x_3 \wedge x_4$$

# Is every truth table computed by some formula?

$x_1$	$x_2$	$x_3$	$x_4$	f
0	0	0	0	<b>1</b>
0	0	0	1	<b>0</b>
0	0	1	0	<b>0</b>
0	0	1	1	<b>0</b>
0	1	0	0	<b>0</b>
0	1	0	1	<b>0</b>
0	1	1	0	<b>0</b>
0	1	1	1	<b>0</b>
1	0	0	0	<b>0</b>
1	0	0	1	<b>0</b>
1	0	1	0	<b>0</b>
1	0	1	1	<b>0</b>
1	1	0	0	<b>0</b>
1	1	0	1	<b>0</b>
1	1	1	0	<b>0</b>
1	1	1	1	<b>0</b>

$$\neg x_1 \wedge \neg x_2 \wedge \neg x_3 \wedge \neg x_4$$

# Is every truth table computed by some formula?

$x_1$	$x_2$	$x_3$	$x_4$	f
0	0	0	0	0
0	0	0	1	0
0	0	1	0	0
0	0	1	1	0
0	1	0	0	0
0	1	0	1	0
0	1	1	0	0
0	1	1	1	0
1	0	0	0	0
1	0	0	1	0
1	0	1	0	1
1	0	1	1	0
1	1	0	0	0
1	1	0	1	0
1	1	1	0	0
1	1	1	1	0

$$x_1 \wedge \neg x_2 \wedge x_3 \wedge \neg x_4$$

# Is every truth table computed by some formula?

$x_1$	$x_2$	$x_3$	$x_4$	f
0	0	0	0	0
0	0	0	1	0
0	0	1	0	0
0	0	1	1	0
0	1	0	0	0
0	1	0	1	0
0	1	1	0	0
0	1	1	1	1
1	0	0	0	0
1	0	0	1	0
1	0	1	0	0
1	0	1	1	0
1	1	0	0	0
1	1	0	1	0
1	1	1	0	0
1	1	1	1	0

$$\neg x_1 \wedge x_2 \wedge x_3 \wedge x_4$$

# Is every truth table computed by some formula?

$x_1$	$x_2$	$x_3$	$x_4$	f
0	0	0	0	
0	0	0	1	
0	0	1	0	
0	0	1	1	
0	1	0	0	
0	1	0	1	
0	1	1	0	
0	1	1	1	
1	0	0	0	
1	0	0	1	
1	0	1	0	
1	0	1	1	
1	1	0	0	
1	1	0	1	
1	1	1	0	
1	1	1	1	

We can similarly do any truth table with exactly one 1.

# Is every truth table computed by some formula?

$x_1$	$x_2$	$x_3$	$x_4$	f
0	0	0	0	0
0	0	0	1	0
0	0	1	0	0
0	0	1	1	0
0	1	0	0	0
0	1	0	1	0
0	1	1	0	0
0	1	1	1	1
1	0	0	0	0
1	0	0	1	0
1	0	1	0	1
1	0	1	1	0
1	1	0	0	0
1	1	0	1	0
1	1	1	0	0
1	1	1	1	0

What if there are **two** 1's?

$(\neg x_1 \wedge x_2 \wedge x_3 \wedge x_4)$

$\vee$

$(x_1 \wedge \neg x_2 \wedge x_3 \wedge \neg x_4)$

# Is every truth table computed by some formula?

$x_1$	$x_2$	$x_3$	$x_4$	$f$
0	0	0	0	0
0	0	0	1	0
0	0	1	0	0
0	0	1	1	0
0	1	0	0	0
0	1	0	1	0
0	1	1	0	0
0	1	1	1	1
1	0	0	0	0
1	0	0	1	0
1	0	1	0	1
1	0	1	1	0
1	1	0	0	0
1	1	0	1	0
1	1	1	0	0
1	1	1	1	0

What if there are **three** 1's?

$(\neg x_1 \wedge x_2 \wedge x_3 \wedge x_4)$

$\vee$

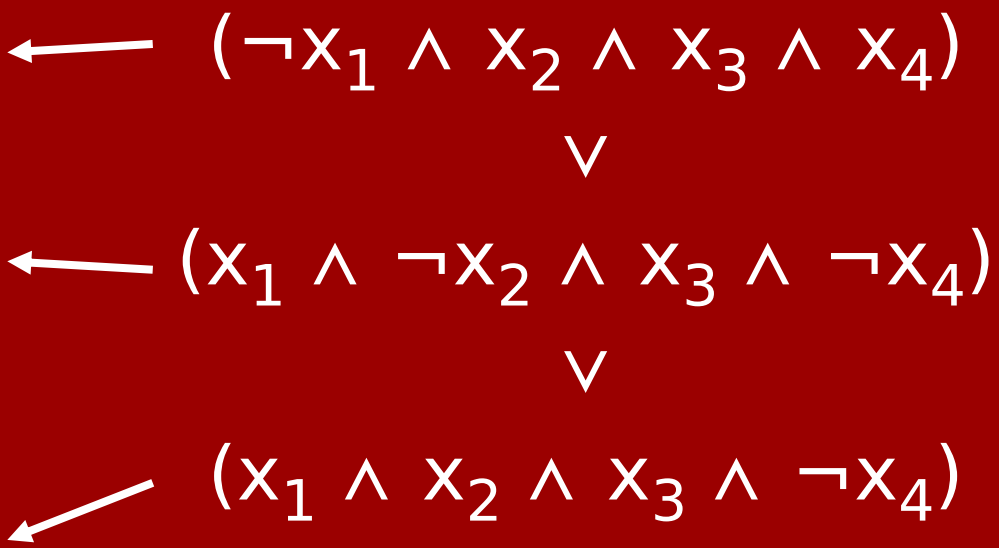
$(x_1 \wedge \neg x_2 \wedge x_3 \wedge \neg x_4)$



# Is every truth table computed by some formula?

$x_1$	$x_2$	$x_3$	$x_4$	$f$
0	0	0	0	0
0	0	0	1	0
0	0	1	0	0
0	0	1	1	0
0	1	0	0	0
0	1	0	1	0
0	1	1	0	0
0	1	1	1	1
1	0	0	0	0
1	0	0	1	0
1	0	1	0	1
1	0	1	1	0
1	1	0	0	0
1	1	0	1	0
1	1	1	0	1
1	1	1	1	0

What if there are **three** 1's?



We have just given a “proof by example” 😊 of:

**Theorem:**

Every Boolean function (truth table) over  $n$  variables can be computed by a formula.

Actually, we missed a case...

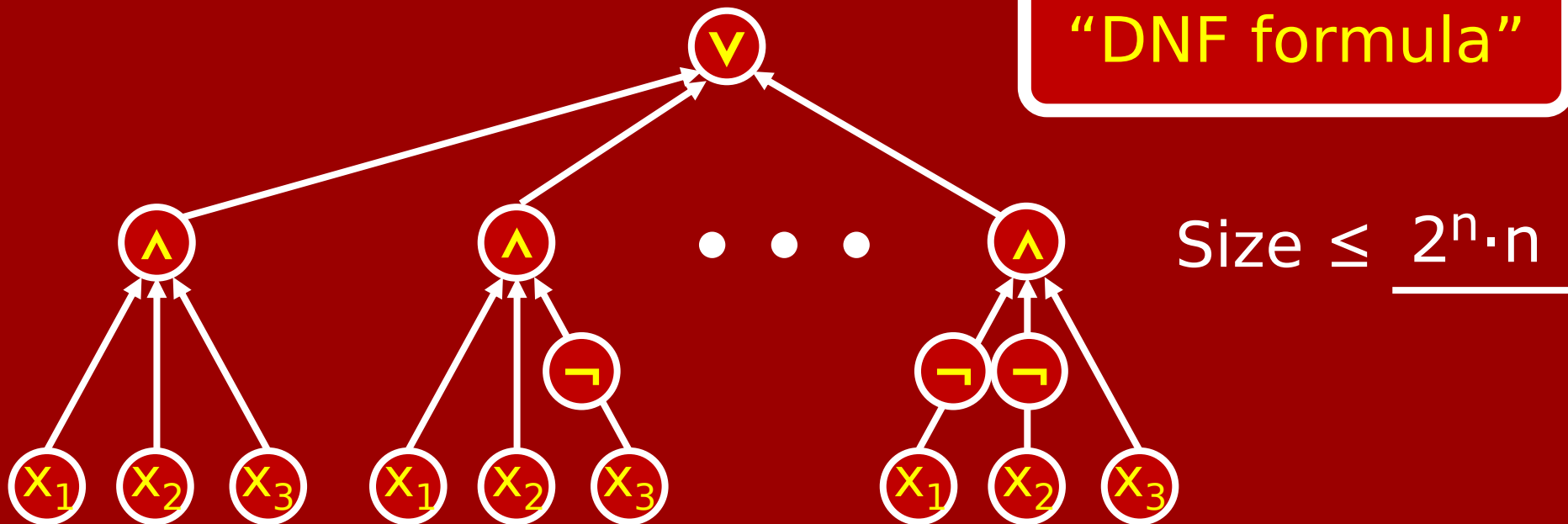
...the Boolean function which is always 0.

Well, it's computed by  $(x_1 \wedge \neg x_1)$ .

## Theorem:

Every Boolean function (truth table) over  $n$  variables can be computed by a formula.

In fact, by a big  $\vee$  of  $\wedge$ 's of (possibly negated) variables.



## Theorem:

Every Boolean function (truth table) over  $n$  variables can be computed by a DNF formula of size  $\leq 2^n \cdot n$ .

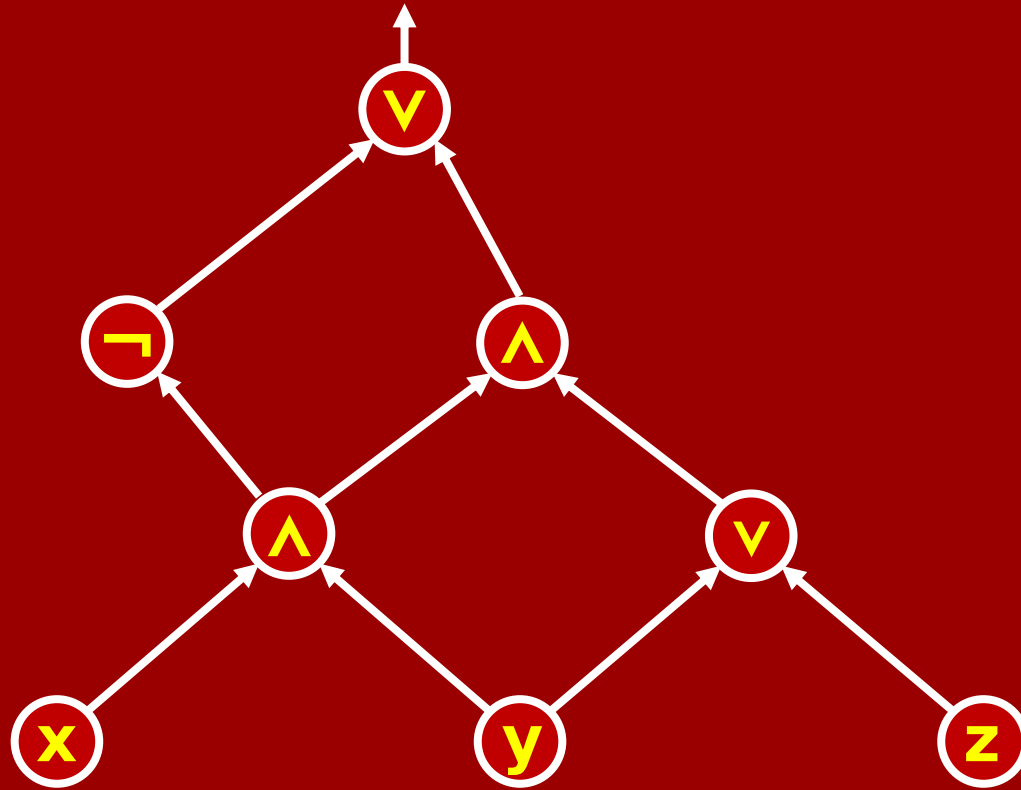
Same statement but with a “CNF formula”:  
a big  $\wedge$  of  $\vee$ 's of (possibly negated) variables.

Why?? “De Morgan formulas”!

# Circuits

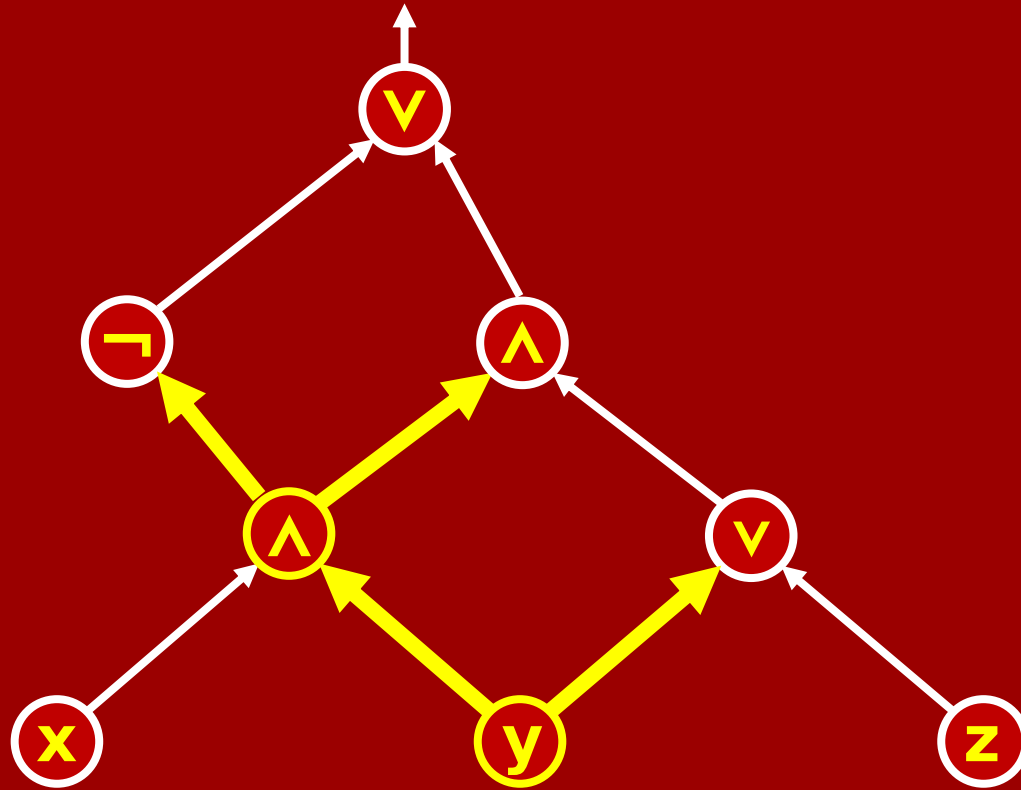


Below is a *circuit*, but it's not a *formula*.



What's the difference?

Below is a *circuit*, but it's not a *formula*.

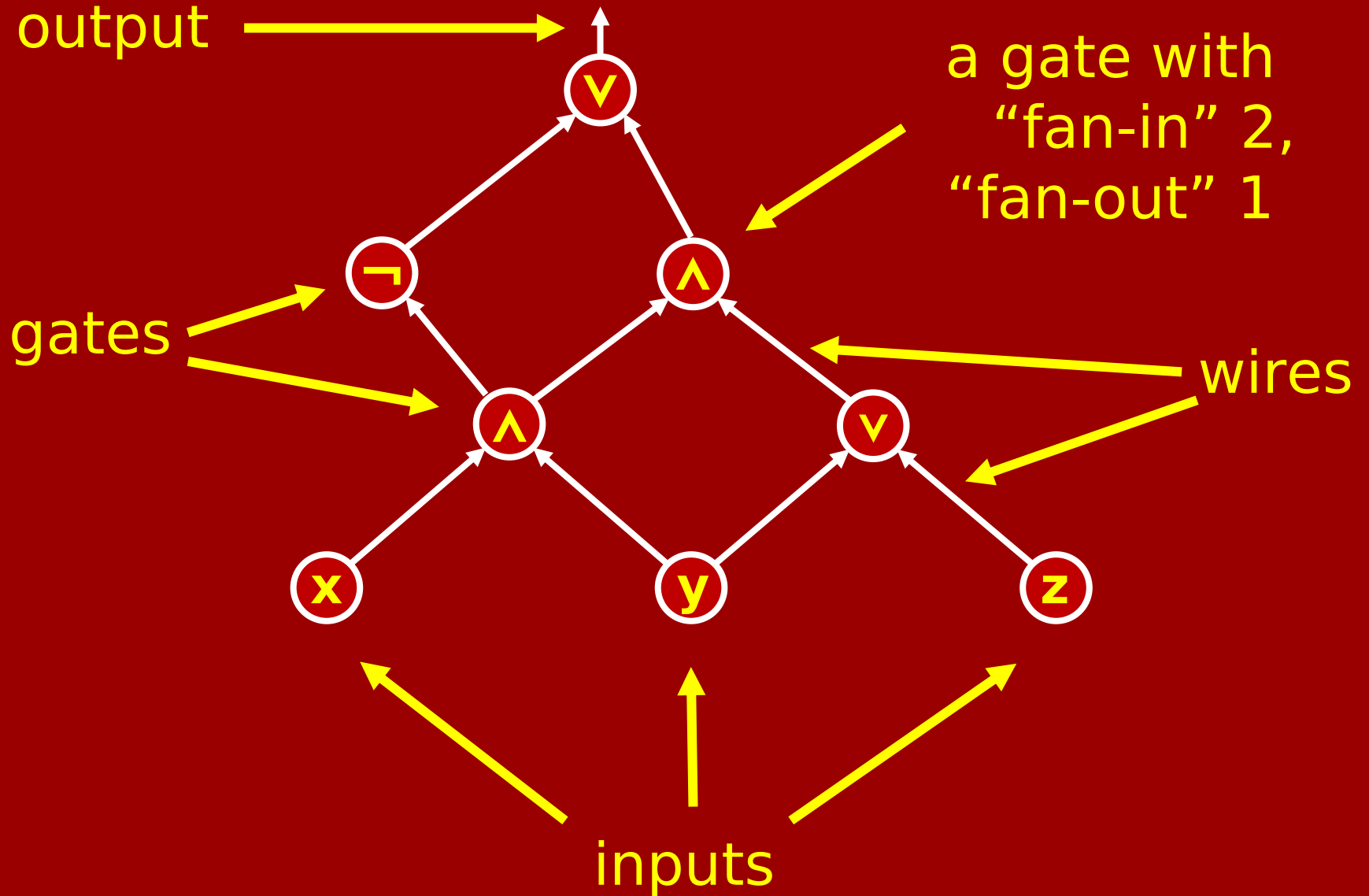


What's the difference?

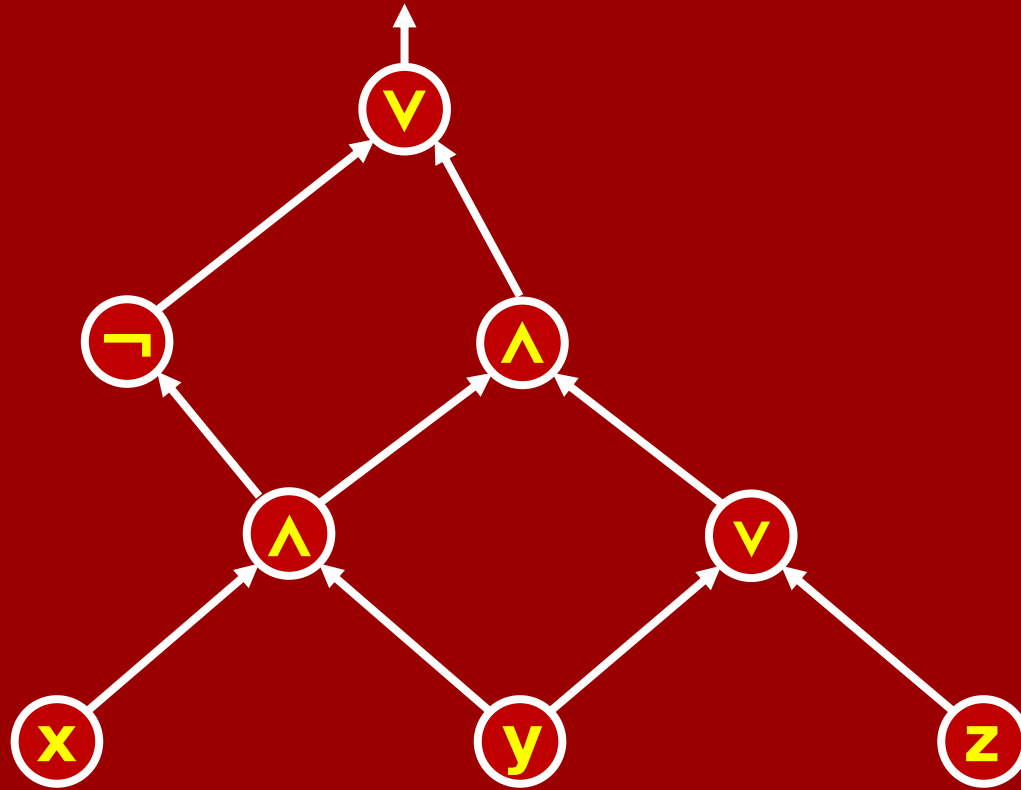
Circuits can have **fan-out**  $> 1$ .



# Anatomy of a circuit



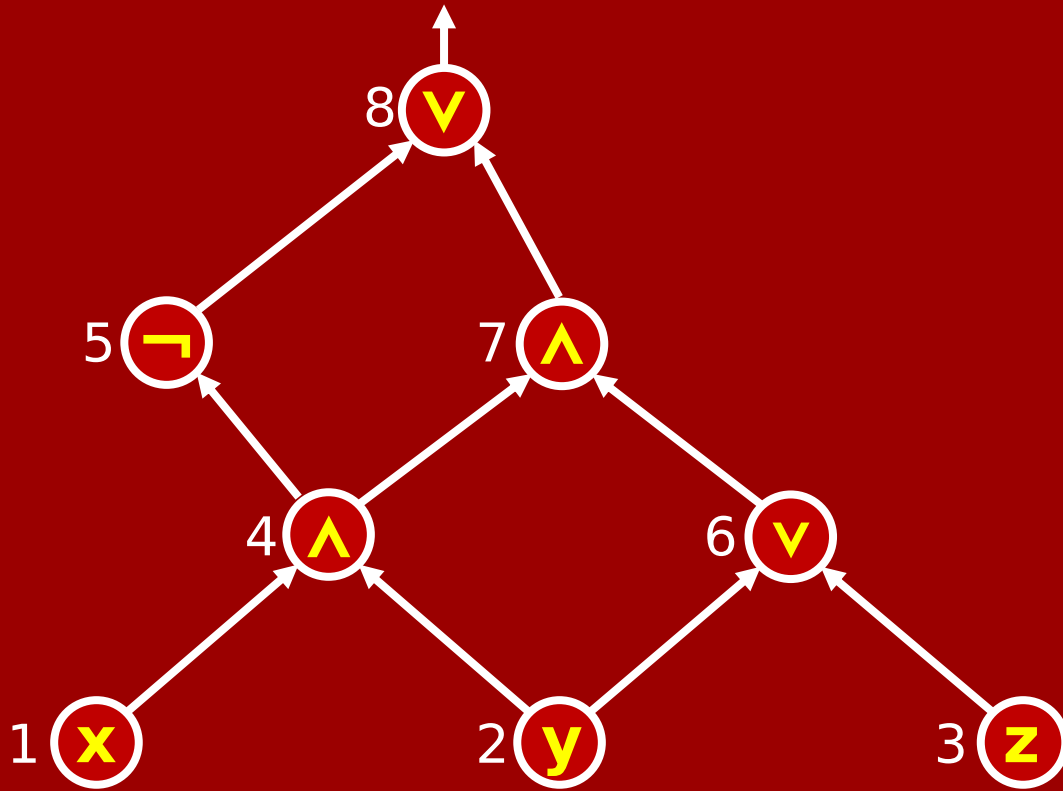
# Anatomy of a circuit



No “loops” allowed! (“directed acyclic graph”)

There is (at least) one “evaluation ordering”.

# Evaluation ordering



$G_1$ :  $x$  (input)

$G_2$ :  $y$  (input)

$G_3$ :  $z$  (input)

$G_4$ :  $\wedge$  (of  $G_1, G_2$ )

$G_5$ :  $\neg$  (of  $G_4$ )

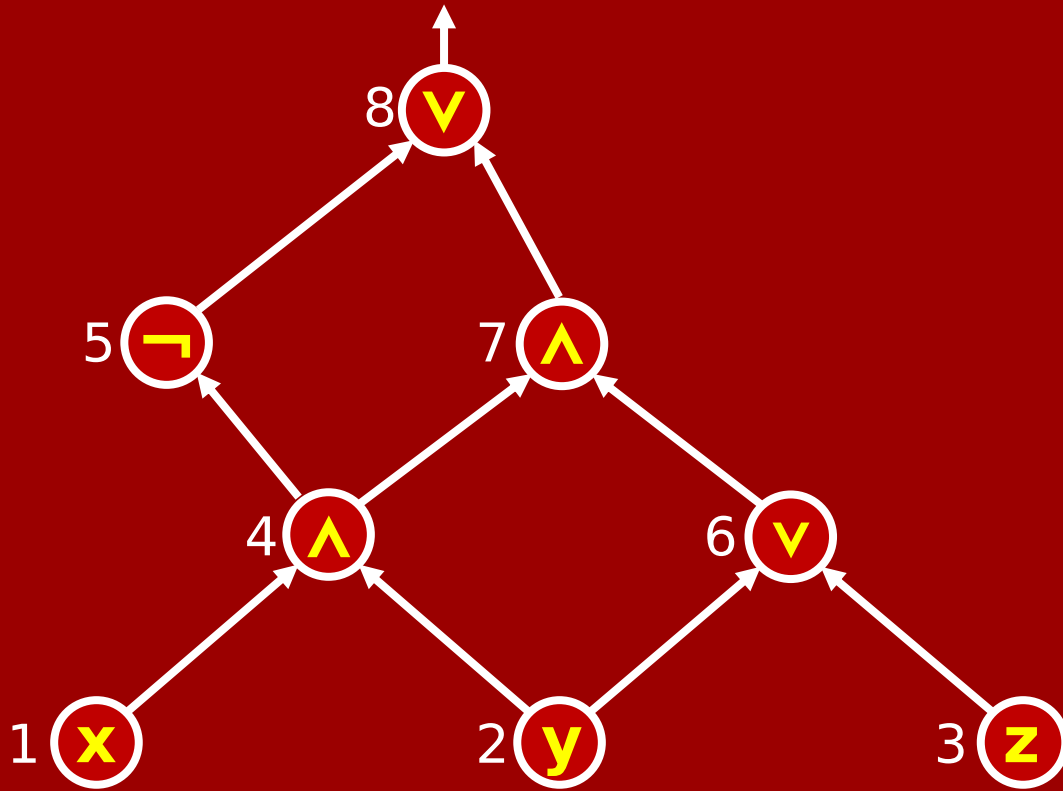
$G_6$ :  $\vee$  (of  $G_2, G_3$ )

$G_7$ :  $\wedge$  (of  $G_4, G_6$ )

$G_8$ :  $\vee$  (of  $G_5, G_7$ )

Any set of gates can be designated as “output”; if unspecified, the “last” gate is the single output.

# Evaluation ordering



$G_1$ :  $x$  (input)

$G_2$ :  $y$  (input)

$G_3$ :  $z$  (input)

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$G_7$ :  $\wedge$  (of  $G_4, G_6$ )

$G_8$ :  $\vee$  (of  $G_5, G_7$ )

“Size” of a circuit: # of *non-input* gates.  
(In this example, 5.)

# Circuits as programming languages

This is a great way  
to specify a circuit. →  
No picture required!

Looks like code in a  
programming language!

$G_1: x$  (input)  
 $G_2: y$  (input)  
 $G_3: z$  (input)  
 $G_4: \wedge$  (of  $G_1, G_2$ )  
 $G_5: \neg$  (of  $G_4$ )  
 $G_6: \vee$  (of  $G_2, G_3$ )  
 $G_7: \wedge$  (of  $G_4, G_6$ )  
 $G_8: \vee$  (of  $G_5, G_7$ )

Looks like circuit size  $\approx$  running time...

## Circuits:

Super-simple.

Looks like a programming language.

Circuit complexity (size) is very concrete.

Circuits can compute any Boolean function.

**Why didn't we use circuits  
(instead of Turing Machines)  
to define computation?!**

Good question, we'll come back to that...

## Definitional question:

What gates are “allowed” in circuits?

Almost always allowed:  $\wedge$  with fan-in 2  
 $\vee$  with fan-in 2  
 $\neg$  with fan-in 1

Usually allowed: 0 with fan-in 0  
1 with fan-in 0

Sometimes allowed: any fan-in 2 gate; e.g.,  
 $\equiv$  (equals),  $\oplus$  (XOR)

Often allowed:  $\wedge$  with **any** fan-in  
 $\vee$  with **any** fan-in

Doesn't make a big difference, but always ask.

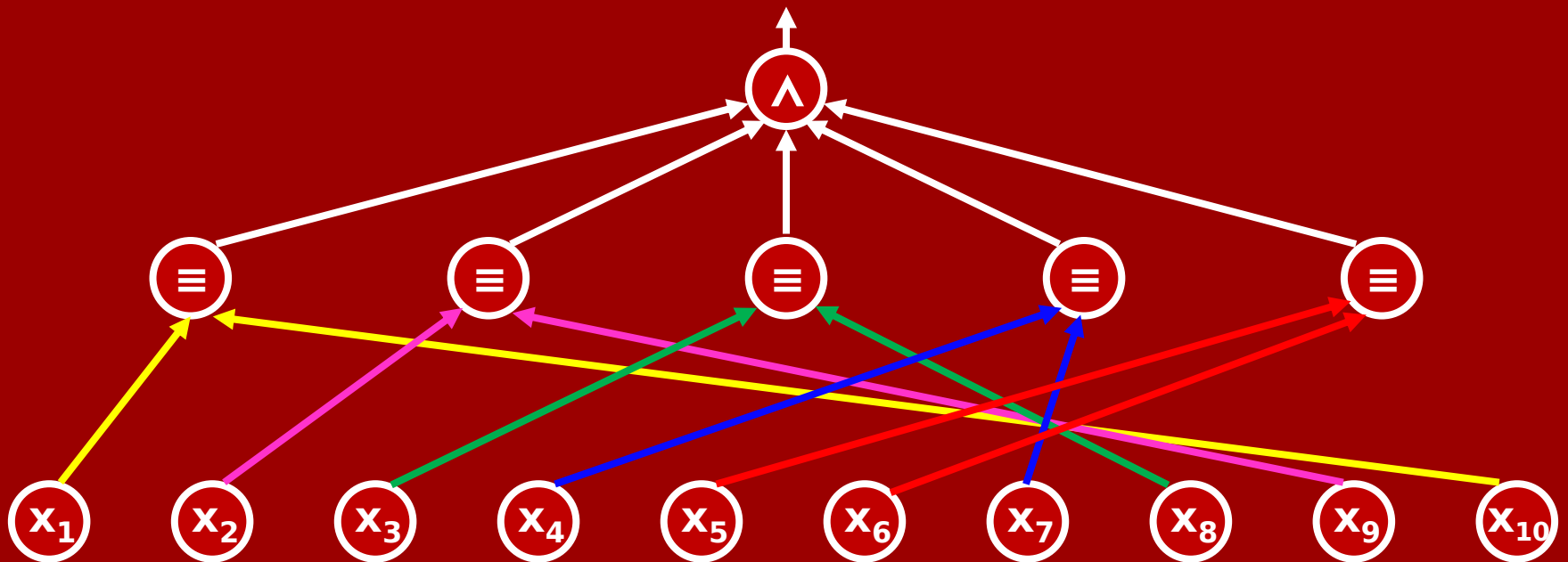
# Let's build a circuit for 10-bit PALINDROMES

$$f : \{0,1\}^{10} \rightarrow \{0,1\}$$

$$f(x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8, x_9, x_{10}) = 1$$

if and only if input string is same as its reverse

Let's be liberal, allow all gates on previous slide.

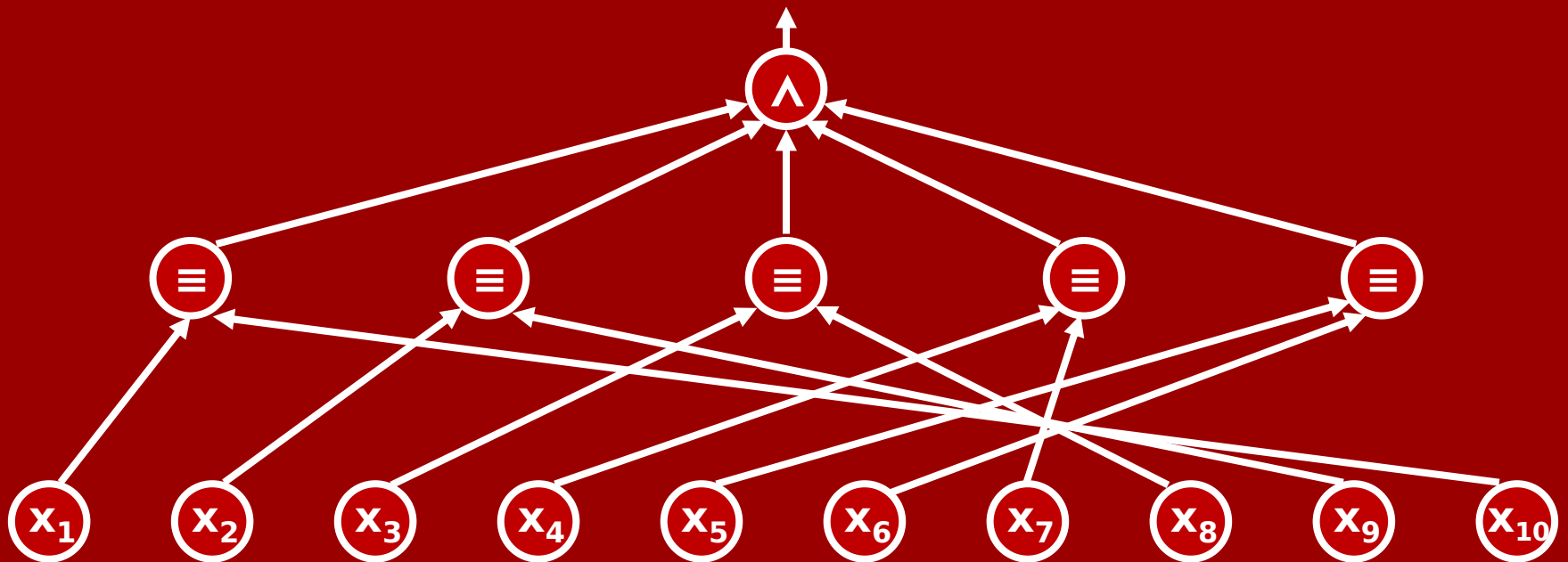




Size? 6

(Depth? 2 )

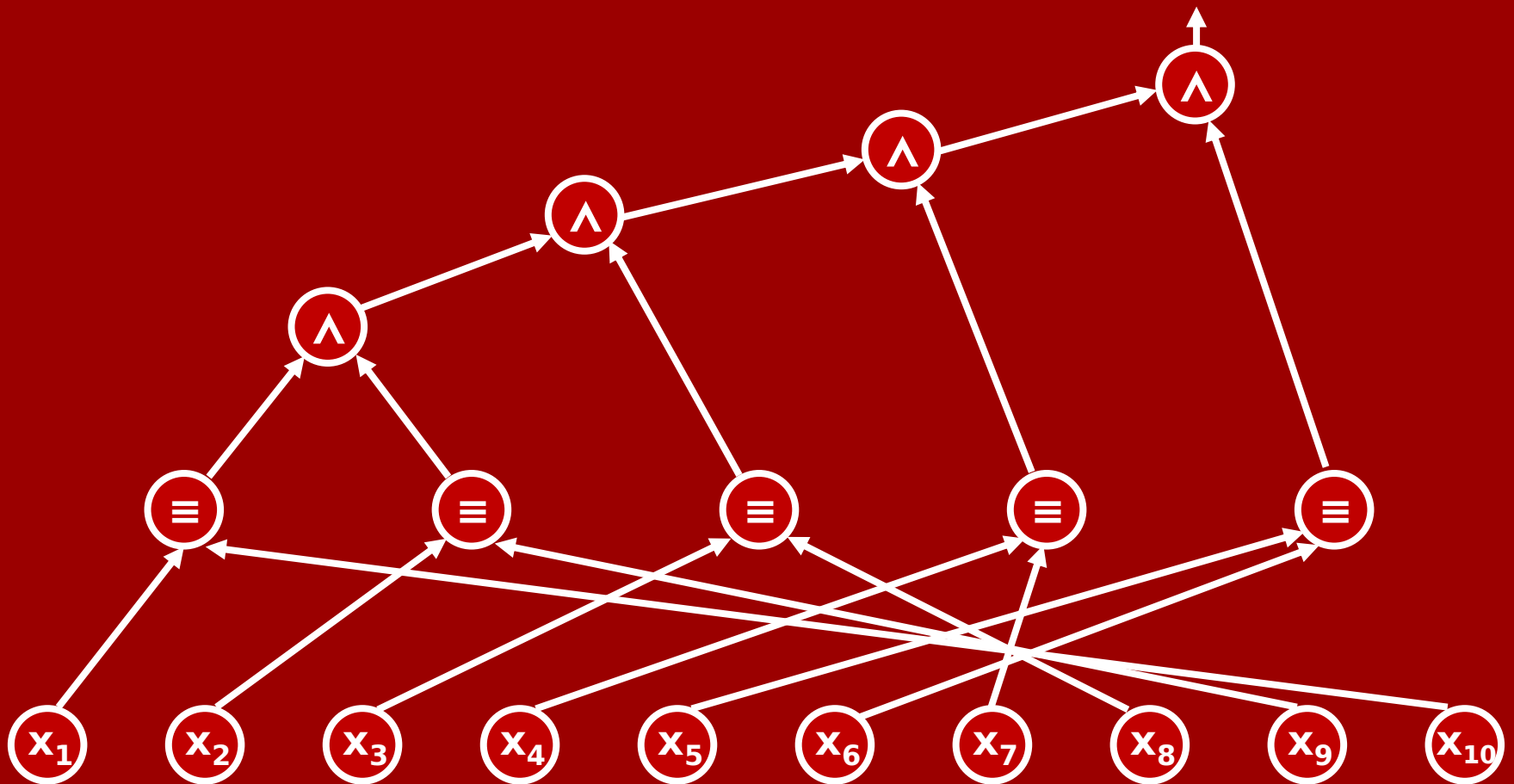
What if we only allow fan-in 2 gates?



Size? 9

(Depth? higher )

What if we only allow fan-in 2 gates?

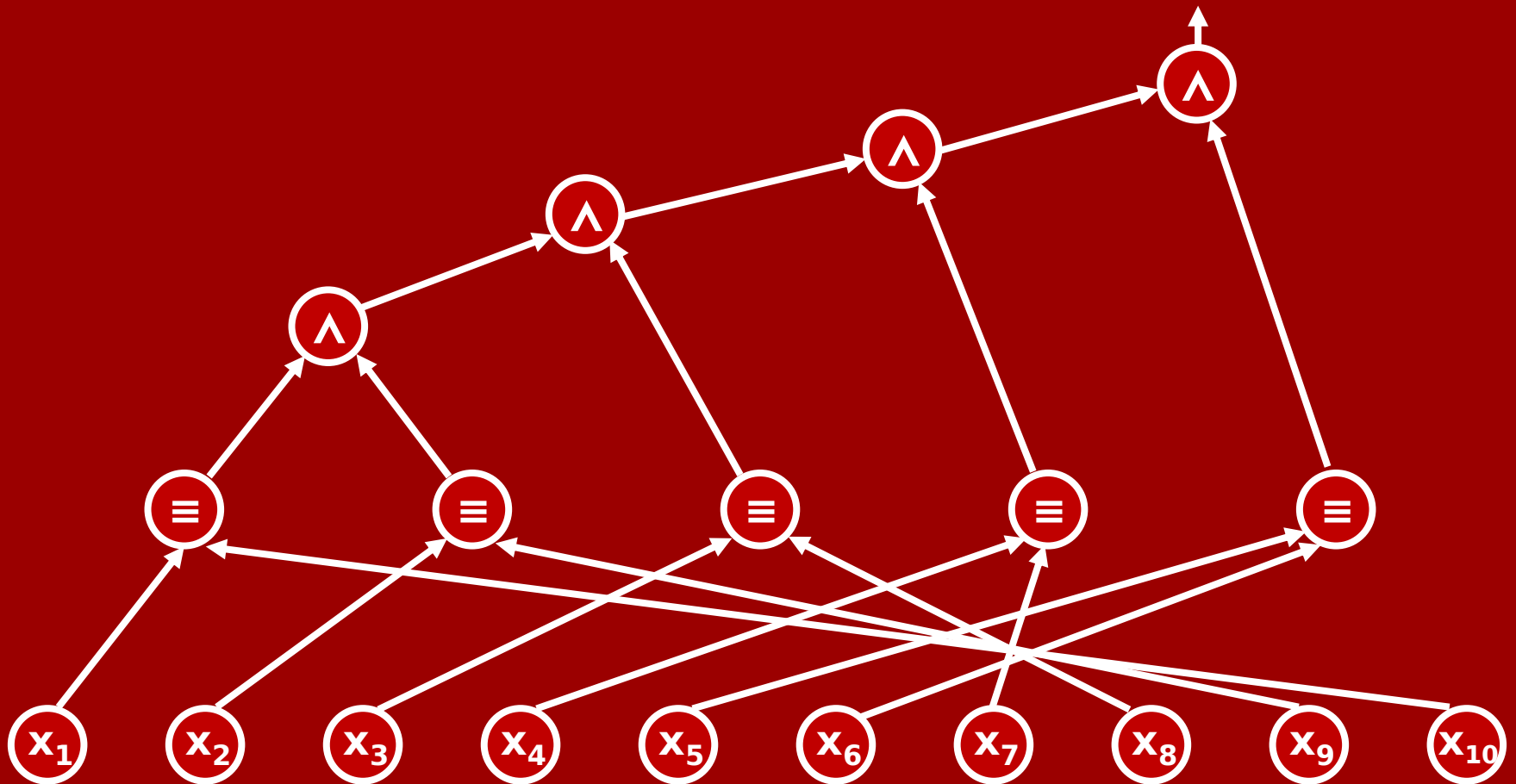


Circuit size for 10-bit inputs: 9

Circuit size for 11-bit inputs: 9

Circuit size for 12-bit inputs: 11

Circuit size for 13-bit inputs: 11



Continuing this pattern, we can get a circuit deciding  $n$ -bit inputs for PALINDROME having size...

$$S(n) = \begin{cases} n-1 & \text{if } n \text{ is even} \\ n-2 & \text{if } n \text{ is odd} \end{cases} \quad (\text{which is } \Theta(n))$$



## **Circuits:**

Super-simple.

Look like a programming language.

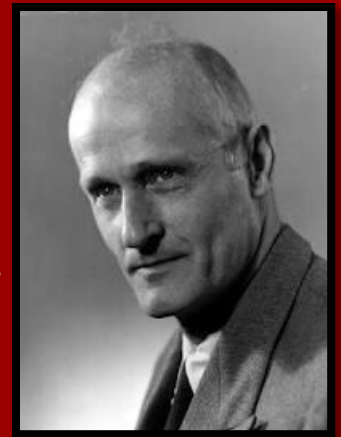
Circuit complexity (size) is very concrete.

Circuits can compute any Boolean function.

**Why didn't we use circuits  
(instead of Turing Machines)  
to define computation?!**

# Inspirational quotation from a famous man:

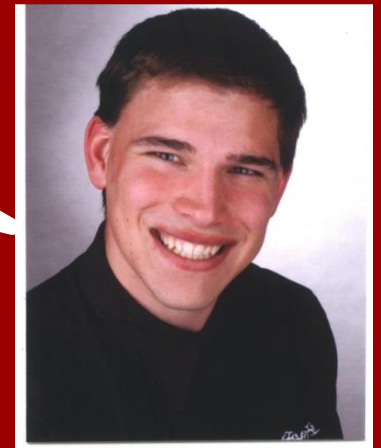
“An algorithm is a finite answer to an infinite number of questions”



Stephen Kleene

# Less Inspirational quote

“Circuits are an **infinite** answer to an infinite number of questions ☹️”

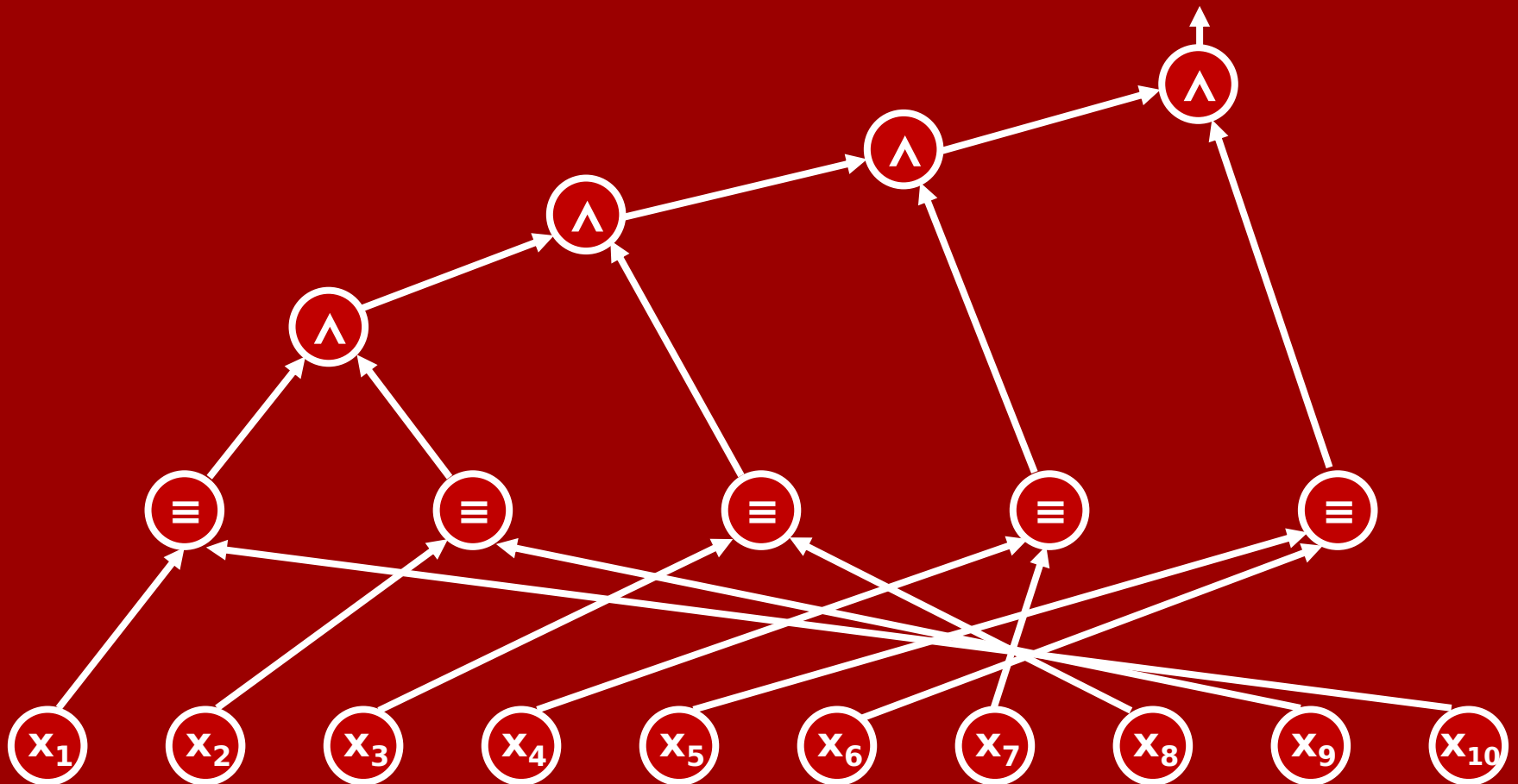


me

Consider the language **PALINDROMES**  $\subseteq \{0,1\}^*$

How can we compute it using circuits?

Well, for length-**10** inputs we had something...

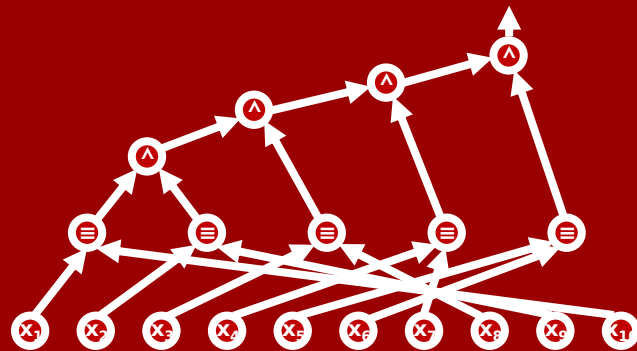




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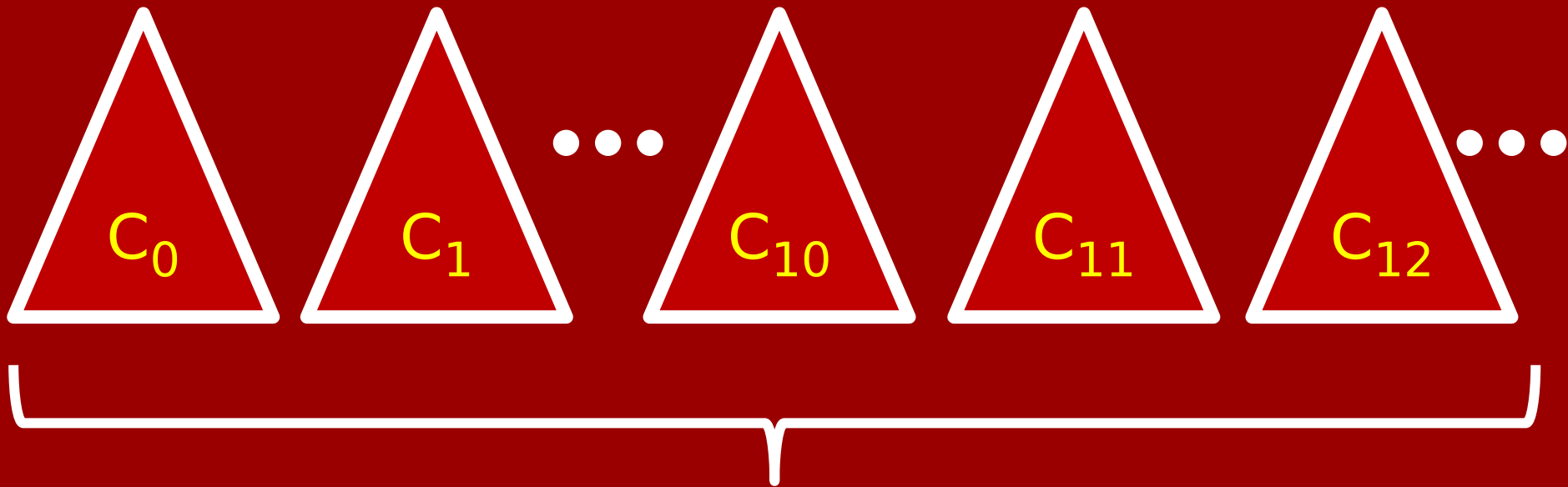
For length-**11** inputs we had something else...

For length-**12** inputs we had something else...

For length-**0** inputs we had something else...

For length-**1** inputs we had something else...





This is called a “family of circuits”.

It’s a fine mathematical concept, but we don’t like it to use it to *define* “computation”, because it’s *infinite*.

(It sort of *begs the question*: In real life, how do you get  $C_n$ ? Hopefully, there’s an *algorithm* that on input  $n$ , outputs  $C_n$ ...)



## Definition:

A **family of circuits**  $\mathcal{C}$  is an infinite sequence  $C_0, C_1, C_2, \dots$  where  $C_n$  is a circuit with  $n$  inputs.

We say  $\mathcal{C}$  **decides**  $L \subseteq \{0,1\}^*$  if for all  $n \in \mathbb{N}$ ,  
 $C_n$  decides  $L_n = L \cap \{0,1\}^n$ .

The **size** of  $\mathcal{C}$  is the function  $S : \mathbb{N} \rightarrow \mathbb{N}$   
defined by  $S(n) = \text{size of } C_n$ .

## Example

Let  $\mathcal{C}$  be the family of circuits where  $C_n$  is...

Then  $\mathcal{C}$  decides the language **PALINDROMES** and has size  $O(n)$ ; more precisely,

$$S(n) = \begin{cases} n-1 & \text{if } n \text{ is even} \\ n-2 & \text{if } n \text{ is odd} \end{cases}$$

\*only for  $n \geq 4$ ;

special cases for  $n=0,1,2,3$

$G_1:$	$x_1$	(input)
$G_2:$	$x_2$	(input)
	...	
$G_n:$	$x_n$	(input)
$E_1:$	$\equiv$	(of $G_1, G_n$ )
$E_2:$	$\equiv$	(of $G_2, G_{n-1}$ )
$E_3:$	$\equiv$	(of $G_3, G_{n-2}$ )
	...	
$E_{\lfloor n/2 \rfloor}:$	$\equiv$	(of $G_{\lfloor n/2 \rfloor}, G_{\lfloor n/2 \rfloor + 1}$ )
$A_1:$	$\wedge$	(of $E_1, E_2$ )
$A_2:$	$\wedge$	(of $A_1, E_3$ )
$A_3:$	$\wedge$	(of $A_2, E_4$ )
	...	
$A_{\lfloor n/2 \rfloor - 1}:$	$\wedge$	(of $A_{\lfloor n/2 \rfloor - 1}, E_{\lfloor n/2 \rfloor}$ )

Recall: Every  $n$ -bit Boolean function computable by a formula/circuit of size  $O(2^n \cdot n)$ .

*(I don't mean to alarm you, but this includes HALT!!)*

Consequence:

**Every** language is computed by a family of circuits of size  $O(2^n \cdot n)$ .

Recall: Every  $n$ -bit Boolean function computable by a formula/circuit of size  $O(2^n \cdot n)$ .

Easy improvement:

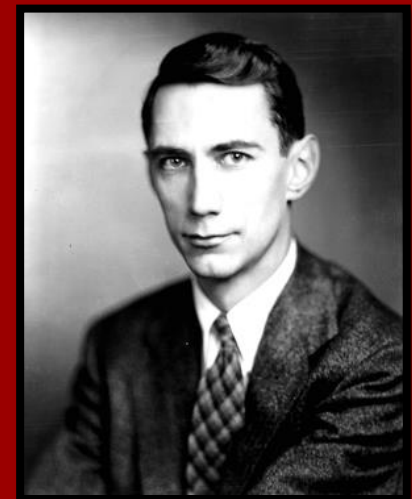
**Every** language is computed by a family of circuits of size  $O(2^n)$ .

Recall: Every  $n$ -bit Boolean function computable by a formula/circuit of size  $O(2^n \cdot n)$ .

Slightly trickier improvement:

**Every** language is computed by a family of circuits of size  $O(2^n/n)$ .

Proved by the great  
Claude Shannon in 1949.





# TM time versus circuit size

## Theorem:

Suppose there is a TM deciding  $L$  in time  $T(n)$ .  
Then it can be converted into a circuit family  
deciding  $L$  with size  $S(n) = O(T(n)^2)$ .

If you like a challenge, try to prove this yourself.

We will need and use this when studying  
“NP-hardness”.

# TM time versus circuit size

## Theorem:

Suppose there is a TM deciding  $L$  in time  $T(n)$ . Then it can be converted into a circuit family deciding  $L$  with size  $S(n) = O(T(n)^2)$ .

## Corollary:

Any  $L$  solvable in polynomial time on TMs (or in RAM model) has polynomial-size circuits.

# TM time versus circuit size

## Corollary:

If you want to show some  $L$  is **not** solvable in polynomial time, suffices to show it is **not** solvable by polynomial-size circuit families.

## Corollary:

Any  $L$  solvable in polynomial time on TMs (or in RAM model) has polynomial-size circuits.

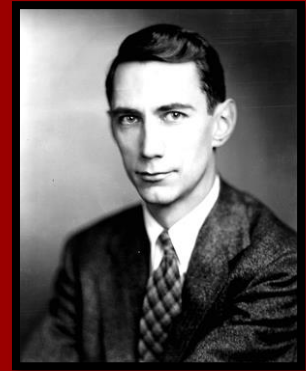
# TM time versus circuit size

## Corollary:

If you want to show some **L** is **not** solvable in polynomial time, suffices to show it is **not** solvable by polynomial-size circuit families.

In the '80s, this was viewed as the approach that would solve **P** versus **NP**.

“Just” have to show that **SAT** doesn't have polynomial-size circuit families.



## Shannon's Theorem 1:

**Every**  $n$ -bit Boolean function has an  $\wedge/\vee/\neg$  circuit of size  $O(2^n/n)$

## Shannon's Theorem 2:

**Almost every**  $n$ -bit Boolean function **requires** a circuit of size  $\Omega(2^n/n)$

(even when all fan-in 2 gates are allowed)

*“Essentially all computational problems require exponential circuit complexity.”*

## Shannon's Theorem 2:

**Almost every**  $n$ -bit Boolean function **requires** a circuit of size  $\Omega(2^n/n)$ .

### Proof:

Let  $s = (1/4) 2^n/n$ . We'll show: There are  $\leq (1.5)^{2^n}$  circuits of size  $s$ . But there are **way** more  $n$ -bit Boolean functions:  $2^{2^n}$ .

Think of the “programming language” form of a size- $s$  circuit. After the  $n$  input gates, we have  $s$  more lines. Each defined by a gate type (16 choices) and two previous lines ( $\leq n+s$  choices). So there are at most  $[16 \cdot (n+s) \cdot (n+s)]^s$  possible circuits.

The  $[\dots]$  quantity is  $\leq 64s^2$  because  $n+s \leq 2s$ , and  $64s^2 \leq (2^n)^2$ . So there are at most  $[(2^n)^2]^s = 2^{2ns} = 2^{(1/2) 2^n} = (1.41\dots)^{2^n}$  circuits.

## Shannon's Theorem 2:

**Almost every** n-bit Boolean function **requires** a circuit of size  $\Omega(2^n/n)$ .

*“Essentially all computational problems require exponential circuit complexity.”*

So... what's an example of one?

If **SAT** is an example, we resolve **P** versus **NP**!

Or... can we just find **any** explicit example?!

Challenge: Find an explicit  $n$ -bit function requiring large circuit size.

Shannon: Practically **all** functions need  $\Omega(2^n/n)$ .

**1965:** Kloss & Malyshev show a certain simple function requires size  $\geq 2n - 3$

**1977:** Paul & Stockmeyer show certain simple functions requires size  $\geq 2.5n - 1.5$

**1984:** N. Blum showed a certain pretty simply function requires size  $\geq 3n - 3$



Consider  $n = 50$

Shannon: Practically **all** functions

20 trillion

**1965:** Kloss & Malyshev show a certain simple function requires size  $\geq 2n - 3$

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147

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**1977:** Paul & Stockmeyer show certain simple functions requires size  $\geq 2.5n - 1.5$

**1984:** N. Blum showed a certain pretty simple function requires size  $\geq 3n - 3$

**Great news!!**

**Last year:** Find, Golevnev, Hirsch, Kulikov showed a certain function requires size  $\geq (3 + 1/86)n - O(n^{\cdot 8})$

This pretty much sums up  
where we are on **P** versus **NP**.

# Study Guide

## Definitions:

Boolean formulas

Truth tables

Boolean functions

The SAT problem

Circuits

Circuit families & size

## Theorems:

Every function can be computed by a DNF

Almost every function requires circuits of size  $\Omega(2^n/n)$ .

