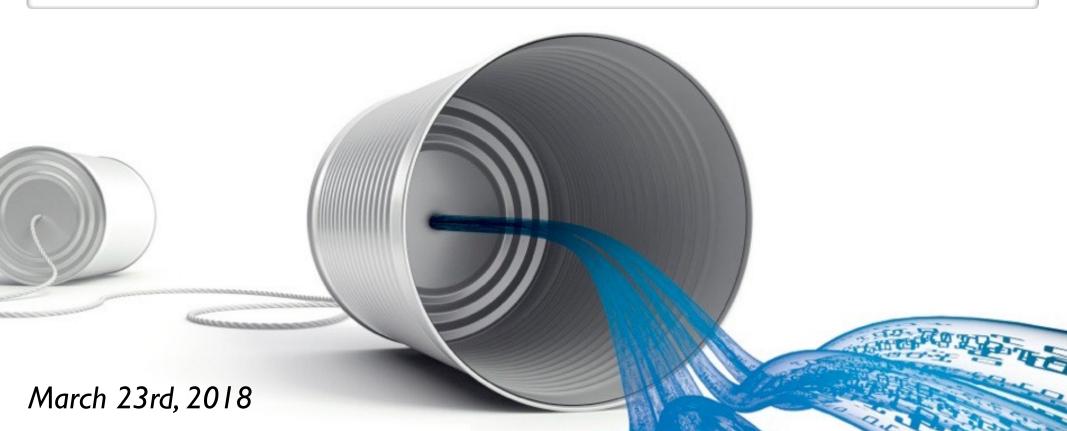
# I5-252 More Great Ideas in Theoretical Computer Science

Lecture 7: Communication Complexity



What are the limitations to what computers can learn?

Do certain mathematical theorems have short proofs?

Can quantum mechanics be exploited to speed up computation?

Is every problem whose solution is efficiently verifiable also efficiently solvable? *i.e.* P = NP?

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Communication complexity

# Cool Things About Communication Complexity

#### Many useful applications:

machine learning, proof complexity, quantum computation, pseudorandom generators, data structures, game theory,...

The setting is simple and neat.

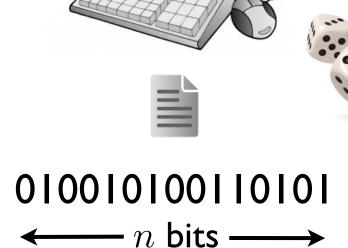
#### Beautiful mathematics

combinatorics, algebra, analysis, information theory, ...

# Motivating Example 1: Checking Equality







How many bits need to be communicated?

Naively: *n* Actually: *n* 

What if we allow 0.0000000001% probability of error?

Naively:  $\Omega(n)$  Actually:  $O(\log n)$ 

# Motivating Example 2: Auctions

Alice



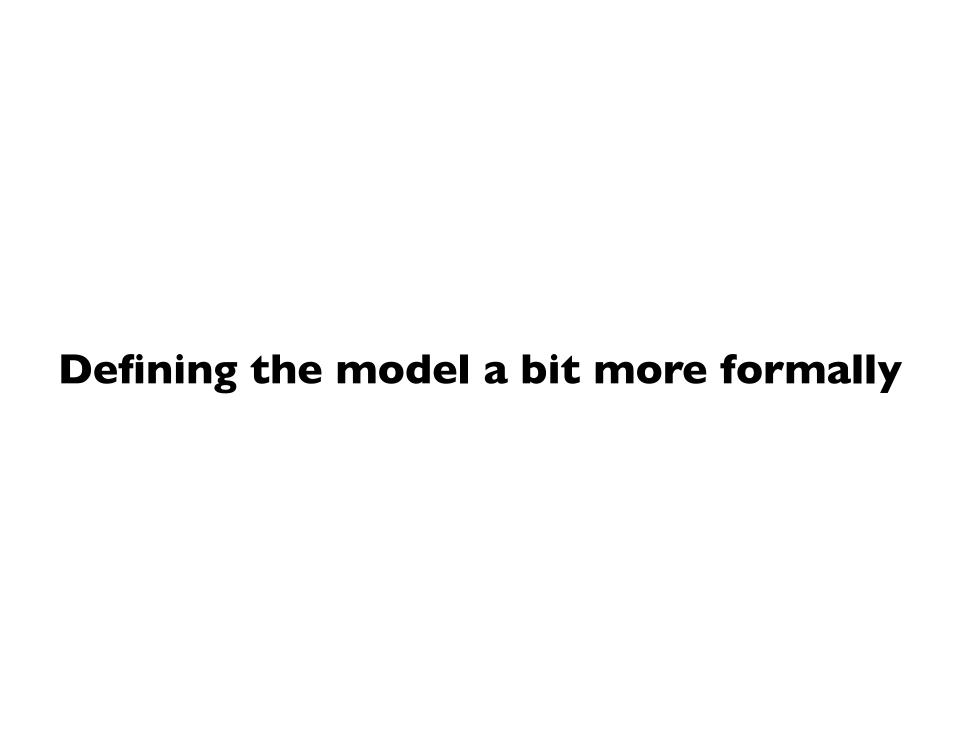
Bob

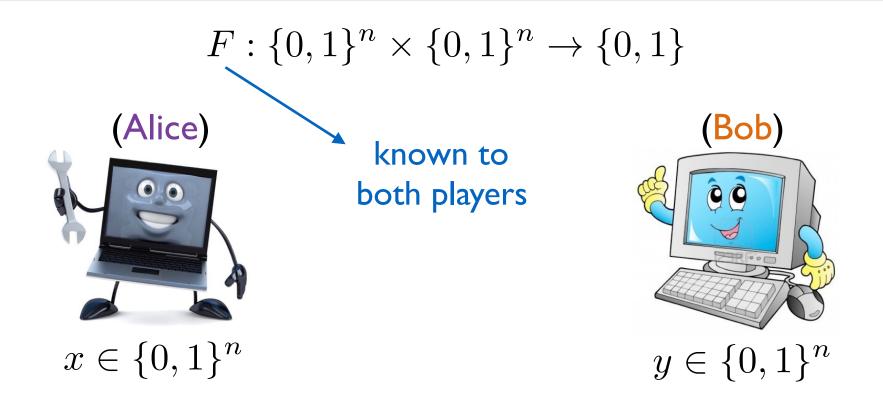


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Goal: Compute F(x,y). (both players should know the value)

How: Sending bits back and forth according to a protocol.

Resource: Number of communicated bits.

(We assume players have unlimited computational power individually.)

#### Poll I

 $x,y\in\{0,1\}^n$ , PAR(x,y)= parity of the sum of all the bits. (i.e. it's I if the parity is odd, 0 otherwise.)

How many bits do the players need to communicate? Choose the tightest bound.

$$O(1)$$
 $O(\log n)$ 
 $O(\log^2 n)$ 
 $O(\sqrt{n})$ 
 $O(n/\log n)$ 
 $O(n)$ 

#### Poll I Answer

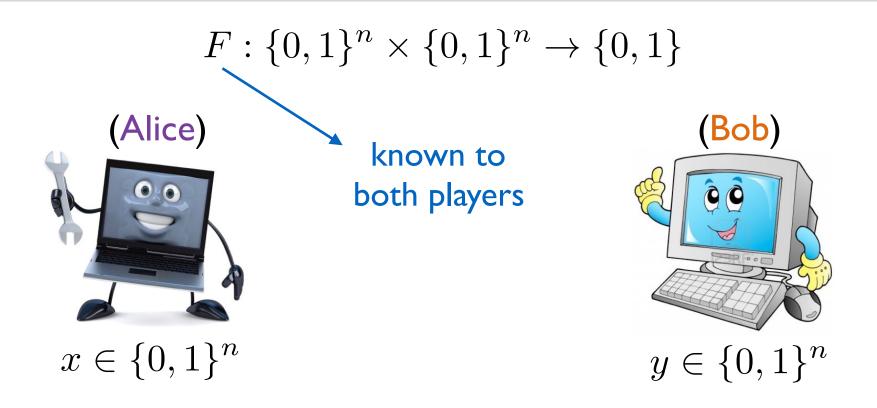
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How many bits do the players need to communicate? Choose the tightest bound.

Once Bob knows the parity of x, he can compute PAR(x,y).

- -Alice sends PAR(x) to Bob. I bit
- Bob computes PAR(x,y) and sends it to Alice. I bit

#### 2 bits in total



Goal: Compute F(x,y). (both players should know the value)

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A protocol  $\,P\,$  is the "strategy" players use to communicate.

It determines what bits the players send in each round.

P(x,y) denotes the output of P.

Goal: Compute F(x,y). (both players should know the value)

How: Sending bits back and forth according to a protocol.

Resource: Number of communicated bits.

A (deterministic) protocol  $\,P\,$  computes  $\,F\,$  if

$$\forall (x,y) \in \{0,1\}^n \times \{0,1\}^n$$
,  $P(x,y) = F(x,y)$ 
Analogous to: algorithm decision problem

$$\forall x \in \Sigma^* \quad A(x) = F(x)$$

Goal: Compute F(x,y). (both players should know the value)

How: Sending bits back and forth according to a protocol.

Resource: Number of communicated bits.

A randomized protocol  $\,P\,$  computes  $\,F\,$  with  $\,\epsilon\,$  error if

$$\forall (x,y) \in \{0,1\}^n \times \{0,1\}^n, \quad \Pr[P(x,y) \neq F(x,y)] \leq \epsilon$$

Goal: Compute F(x,y). (both players should know the value)

How: Sending bits back and forth according to a protocol.

Resource: Number of communicated bits.

$$cost(P) = \max_{(x,y)} \# bits P communicates for (x,y)$$

if P is randomized, you take max over the random choices it makes.

#### Deterministic communication complexity

 $\mathbf{D}(F)=\min \operatorname{cost} \operatorname{of} \operatorname{a}$  (deterministic) protocol computing F.

#### Randomized communication complexity

 $\mathbf{R}^{\epsilon}(F) = \min \text{ cost of a randomized protocol computing } F$  with  $\epsilon$  error.

Goal: Compute F(x,y). (both players should know the value)

How: Sending bits back and forth according to a protocol.

Resource: Number of communicated bits.

$$cost(P) = max \# bits P communicates for (x, y)$$

We usually fix  $\epsilon$  to some constant.

$$\mathbf{D}(F) = \min$$

Randomized co

e.g. 
$$\epsilon=1/3$$

We can always boost the success probability if we want.

$$\mathbf{R}^{\epsilon}(F') = \mathsf{min}$$
 with  $\epsilon$  error.

outing F.

# What is considered hard or easy?

$$F: \{0,1\}^n \times \{0,1\}^n \to \{0,1\}$$

$$0 \le \mathbf{R}_2^{\epsilon}(F) \le \mathbf{D}_2(F) \le n+1$$

$$c \quad \log^c(n) \quad n^{\delta} \quad \delta n$$

### Example

Equality: 
$$EQ(x,y) = \begin{cases} 1 & \text{if } x = y, \\ 0 & \text{otherwise.} \end{cases}$$

$$\mathbf{D}(EQ) = n + 1.$$
  $\mathbf{R}^{1/3}(EQ) = O(\log n).$ 

#### Poll 2

MAJ(x,y) = I iff majority of all the bits in x and y are set to I.

What is D(MAJ)? Choose the tightest bound.

$$O(1)$$
 $O(\log n)$ 
 $O(\log^2 n)$ 
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 $O(n)$ 

#### Poll 2 Answer

MAJ(x,y) = I iff majority of all the bits in x and y are set to I.

What is D(MAJ)? Choose the tightest bound.

The result can be computed from

$$\sum_{i \in \{1,2,...,n\}} x_i + \sum_{i \in \{1,2,...,n\}} y_i$$

- -Alice sends  $\sum_i x_i$  to Bob. ~ log n bits
- Bob computes MAJ(x,y) and sends it to Alice. I bit  $O(\log n)$  in total

# Another example: Disjointness function

Can view the input string as a subset of  $\{1,2,3,...,n\}$ 

$$S_x = \{2, 4, 5\}$$
 $x = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \end{bmatrix}$ 

Disjointness: 
$$DISJ(S_x, S_y) = \begin{cases} 1 & S_x \cap S_y = \emptyset \\ 0 & \text{otherwise} \end{cases}$$

$$\mathbf{R}^{1/3}(DISJ) = \Omega(n).$$
 hard!

# The plan

1. Efficient randomized communication protocol for checking equality.

2. An application of communication complexity.

3. A few words on proving lower bounds.

# Efficient randomized communication protocol for checking equality

#### The Power of Randomization

$$\mathbf{R}^{1/3}(EQ) = O(\log n).$$

#### The Protocol:

Alice's Input:  $a_0 a_1 a_2 \dots a_{n-1} \in \{0,1\}^n$  Bob's Input:  $b_0 b_1 b_2 \dots b_{n-1} \in \{0,1\}^n$ 

Alice picks a prime  $p \in [n^2, 2n^2]$  and a random  $t \in \mathbb{Z}_p$ .

Alice builds polynomial

$$A(x) = a_0 + a_1 x + a_2 x^2 + \dots + a_{n-1} x^{n-1} \in \mathbb{Z}_p[x]$$

Alice sends Bob:  $p, t, A(t) \rightarrow O(\log n)$  bits

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Alice sends Bob:  $p, t, A(t) \rightarrow O(\log n)$  bits

Bob builds polynomial  $B(x) \in \mathbb{Z}_p[x]$ 

**Output:** If A(t) = B(t), output 1. Otherwise, output 0.

#### The Power of Randomization

$$\mathbf{R}^{1/3}(EQ) = O(\log n).$$

#### **Analysis:**

<u>Want to show:</u> For all inputs (a, b), probability of error is  $\leq \epsilon$ .

For all (a, b) with a = b:

$$\Pr_{t}[\text{error}] = \Pr_{t}[A(t) \neq B(t)] = 0$$

For all (a, b) with  $a \neq b$ :

$$\Pr_{t}[\text{error}] = \Pr_{t}[A(t) = B(t)] = \Pr_{t}[(A - B)(t) = 0]$$

$$= \Pr_t[t \text{ is a root of } A - B] \le \frac{n-1}{p} \le \frac{n-1}{n^2} \le \frac{1}{n}$$

$$(\text{degree}(A-B) \le n-1)$$

# An application of communication complexity

# Applications of Communication Complexity

communication

- circuit complexity
- time/space tradeoffs for Turing Machines
- VLSI chips
- machine learning
- game theory
- data structures
- proof complexity

- pseudorandom generators
- pseudorandomness
- branching programs
- data streaming algorithms
- quantum computation
- lower bounds for polytopes representing NP-complete problems

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# How Communication Complexity Comes In

**Setting:** Solve some **task** while minimizing some **resource**.

e.g. find a fast algorithm, design a small circuit, find a short proof of a theorem, ...

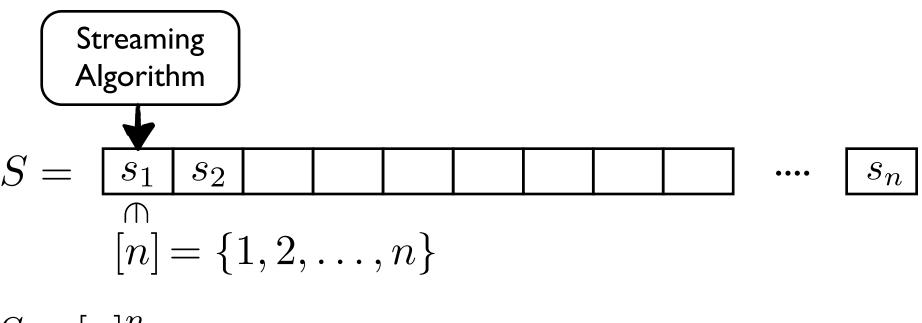
Goal: Prove lower bounds on the **resource** needed.

#### Sometimes we can show:

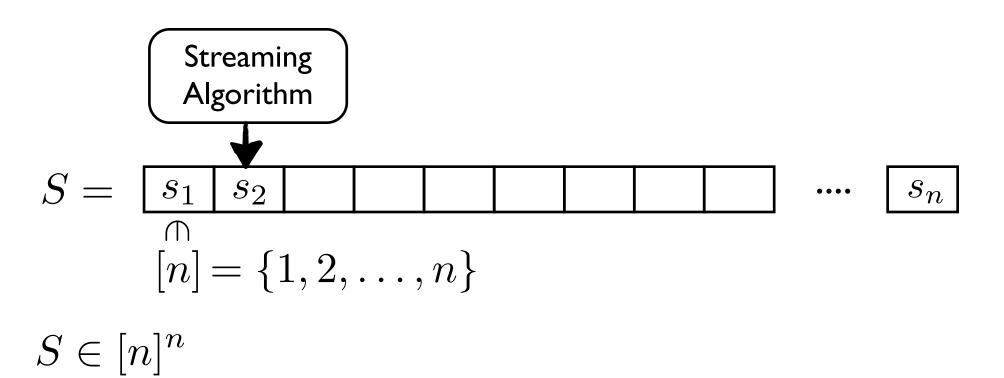
efficient solution to our problem efficient communication protocol for a certain function.

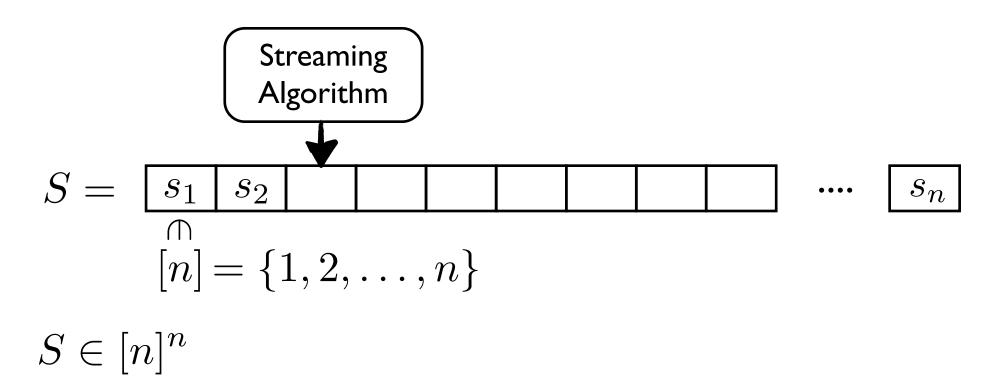
i.e. no efficient protocol for the function no efficient solution to our problem.

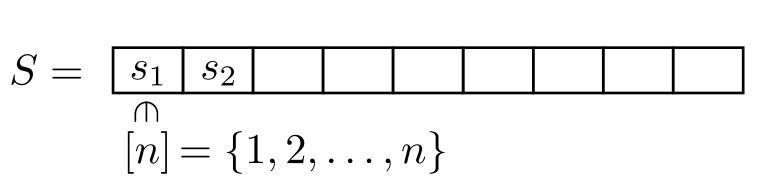


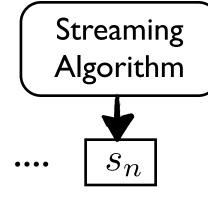


$$S \in [n]^n$$









$$S \in [n]^n$$

Fix some function  $f:[n]^n \to \mathbb{Z}$ .

e.g. f(S) = # most frequent symbol in S

Goal: On input S, compute (or approximate) f(S) while minimizing space usage.

# Lower Bounds via Communication Complexity

$$f(S) = \#$$
 most frequent symbol in S

Space efficient streaming algorithm computing f communication efficient protocol computing DISJ.

Disjointness: 
$$DISJ(S_x, S_y) = \begin{cases} 1 & S_x \cap S_y = \emptyset \\ 0 & \text{otherwise} \end{cases}$$

# Lower Bounds via Communication Complexity

$$f(S) = \#$$
 most frequent symbol in S

Space efficient streaming algorithm computing f communication efficient protocol computing DISJ.

$$S_x = \{2, 4, 5\} \qquad S_y = \{1, 5, 7, 8\}$$
 
$$x = \boxed{0} \ \boxed{0} \$$

**Protocol:** Alice runs streaming algorithm on  $S_x$ .

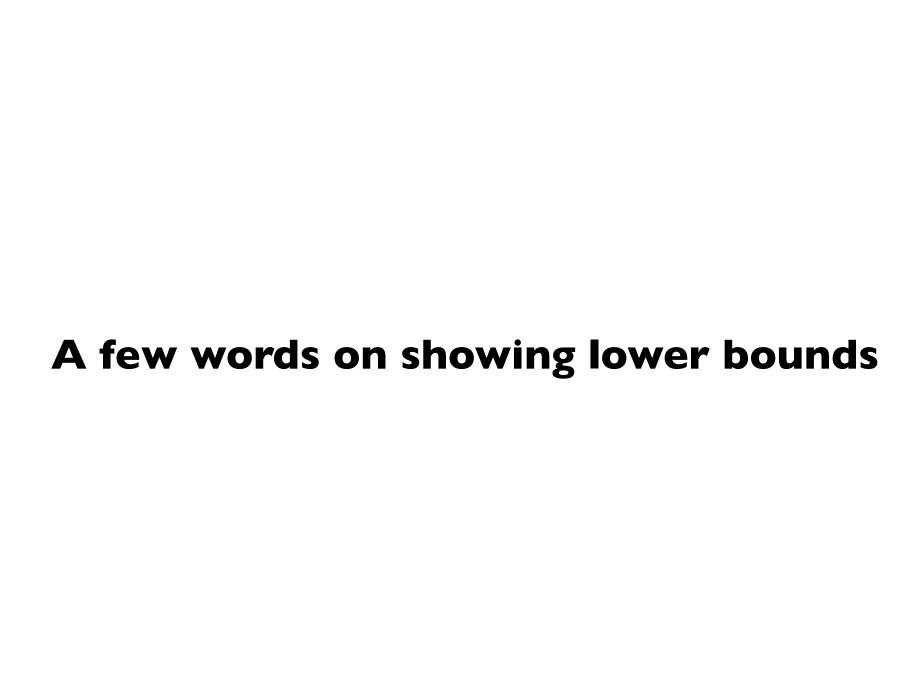
She sends the state and memory contents to Bob.

Bob continues to run the algorithm on  $S_y$  .

If  $f(S_x \cdot S_y) = 2$ , Bob outputs 0, otherwise 1.







#### The function matrix

$$F: \{0,1\}^n \times \{0,1\}^n \to \{0,1\}$$

y

 $M_F =$ 

|010101110101010101000101000101101 [0101010101110101011011011011011011 

 $2^n$  by  $2^n$  matrix

$$M_F[x,y] = F(x,y)$$

#### The function matrix

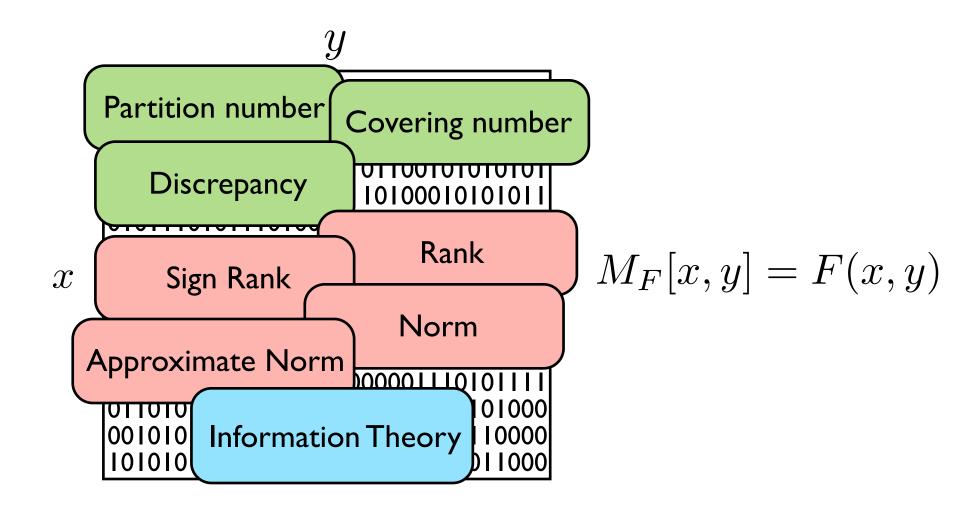
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n = 3		y								
•	000	001	010	011	100	101	110	111		
$M_{EQ} =$	000	1	0	0	0	0	0	0	0	
<b>1</b> &	001	0	I	0	0	0	0	0	0	
	010	0	0	I	0	0	0	0	0	
	011	0	0	0	I	0	0	0	0	
x	100	0	0	0	0	I	0	0	0	
	101	0	0	0	0	0	I	0	0	
	110	0	0	0	0	0	0	I	0	
	Ш	0	0	0	0	0	0	0	ı	

 $2^n$  by  $2^n$ 

#### The function matrix

How do you prove lower bounds on comm. complexity?



You study this matrix!

# Take-Home Message

Communication complexity studies natural distributed tasks.

Communication complexity (lower bounds) has **many** interesting applications.

Lower bounds can be proved using a variety of tools: combinatorial, algebraic, analytic, information theoretic,...